LINDA SÕBER

Impact of thyroid disease and surgery on patient's quality of voice and swallowing





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LIST OF ORIGINAL PUBLICATIONS

This thesis is based on the following original publications, which are referred to in the text by their Roman numerals:

- I Sõber L, Lepner U, Kirsimägi Ü, Kasenõmm P. Effect of endotracheal intubation versus laryngeal mask airway on patient's quality of voice and swallowing. Int J Otorhinolaryngol Head Neck Surg 2019 Jul 5(4)820–825. doi: 10.18203/issn.2454-5929.ijohns20192699
- II Sõber L, Lepner U, Kirsimägi Ü, Kasenõmm P. Prethyroidectomy voice and swallowing disorders and the possible role of laryngopharyngeal reflux disease. Logoped Phoniatr Vocol. 2021 Dec 23:1–6. doi: 10.1080/14015439.2021.2020894.
- III Sõber L, Lepner U, Kirsimägi Ü, Puksa L, Kasenõmm P. Voice and Swallowing Disorders After Thyroid Surgery. J Voice. 2022 Apr 8: S0892–1997(22)00077–7. doi: 10.1016/j.jvoice.2022.03.013.

Contribution of Linda Sõber to original publications:

Study design, patient recruitment, clinical evaluation of patients, participation in data analysis, writing the paper

ABBREVIATIONS

AVA acoustic voice analysis

EBSLN external branch of the superior laryngeal nerve

EMG electromyography

ETI endotracheal intubation tube F_0 fundamental frequency

GERD gastroesophageal reflux disease

GRBAS Grade Roughness Breathiness Asthenia Strain IBSLN internal branch of the superior laryngeal nerve

IONM intraoperative nerve monitoring

Jitt iitter

LMA laryngeal mask airway LPR laryngopharyngeal reflux

MDVP Multidimensional Voice Program

MPT maximum phonation time NHR noise to harmonics ratio

PCA posterior cricoarytenoid muscle

RFS Reflux Finding Score
RLN recurrent laryngeal nerve
RSI Reflux Symptom Index

Shim shimmer

SIS Swallowing Impairment Score
SLN superior laryngeal nerve
SPI soft phonation index
T3 triiodothyronine

T4 thyroxine

TA thyroarytenoid muscle
TSH thyroid stimulating hormone
UES upper esophageal sphincter
VHI Voice Handicap Index
VLS videolaryngostroboscopy
VTI voice turbulence index

1. INTRODUCTION

The thyroid gland is located in the anterior part of the lower neck. The thyroid lobes are in close proximity to two cervical branches of the vagus nerve on each side – the recurrent laryngeal nerve and the superior laryngeal nerve, as well as the pharyngeal and laryngeal vasculature and the esophageal inlet. This anatomical relationship has important implications for laryngeal function in the setting of thyroid disorders and following thyroid surgery (Pfaff *et al.*, 2017).

Postoperative voice and swallowing problems are frequent complaints following thyroid surgery. A temporary decrease in voice quality after thyroid-ectomy may occur in up to 38–87% of patients. Permanent changes have been described in 13–35% of cases (Soylu *et al.*, 2007; Henry *et al.*, 2010; Lombardi *et al.*, 2009; Sinagra *et al.*, 2004). Furthermore, transitory swallowing difficulties may occur in up to 80% and persistent in one-fifth of patients (Lombardi *et al.*, 2009; Krekeler *et al.*, 2018; Martins *et al.*, 2020). Both altered voice quality, as well as deterioration of swallowing function can have major influence on the patients' quality of life, as well as a serious socio-economic impact.

However, early postoperative voice and swallowing problems can also be associated with general anesthesia itself. Up to 69% of patients complain shortterm voice disorders following endotracheal intubation (Brodsky et al., 2021; Mendels et al., 2012) and 73% of patients show alterations in the laryngeal mucosa (Pröschel et al., 1993). The incidence of dysphagia after short-term general anesthesia varies from 10-43% (Rieger et al., 1997; Brodsky et al., 2021). Previous studies have described alterations in voice and swallowing quality already in preoperative thyroid patients in up to 76% of cases (Fiorentino et al., 2011; McIvor et al., 2000; Viana Baptista et al., 2020). In most patients, these symptoms are associated with compression by the enlarged thyroid gland, which can lead to compression of the laryngeal nerves and esophagus, or affect laryngeal elevation during swallowing (Alfonso et al., 1981; Sorensen et al., 2018). Additionally, hypo- and hyperthyroid status may account for some of these complaints. Coexisting laryngopharyngeal reflux (LPR) has also been associated with voice and swallowing dysfunction in thyroid patients, but the latter results have been inconclusive (Fiorentino et al., 2011; Holler and Anderson, 2014).

Therefore, despite the fact that thyroid surgery is one of the most common surgical procedures worldwide, many questions still remain unclear regarding the causes and duration of the thyroid related dysphonia and dysphagia. The etiology of voice and swallowing problems in thyroid patients is complex, influenced by both pre-and postoperative factors.

The goal of the present PhD thesis was to evaluate pre- and postoperative voice and swallowing disorders in thyroid patients, the clinical significance of symptoms' impact on patient's quality of everyday life, to identify dynamic changes during a follow-up period of 12–18 months and to find possible

indicative signs of permanent or temporary vocal fold palsy. In addition, we aimed to explore the possible role of thyroid enlargement, LPR disease and general anesthesia in the etiology of these alterations.

2. REVIEW OF THE LITERATURE

2.1 Anatomy of the thyroid gland

The thyroid gland, one of the largest endocrine glands in the body, is a butterfly-shaped gland, lying in the anterior neck at the level of the second to fourth tracheal rings. The gland is composed of two lateral lobes, joined by a central isthmus, with each lobe measuring approximately 4 cm cranial-caudal, 1.5 cm transverse, and 2 cm anterior-posterior. These dimensions can be drastically altered by disease. Each of the elongated lateral lobes consists of a superior and an inferior pole. Occasionally, a pyramidal lobe is present cranially, representing the remnant pathway as the thyroid gland descended from the foramen caecum near the base of the tongue to its final place in the lower neck (Lee *et al.*, 2012). The gland is covered by a thin fibrous capsule without true lobulations. Posteriorly, the condensation of the deep cervical fascia forms the suspensory ligament of Berry, which firmly attaches the thyroid to the trachea and larynx (Sasou *et al.*, 1998).

Attached to the posterolateral surface of the thyroid gland are the superior and inferior parathyroid glands. Their function is to produce parathyroid hormone, which regulates the circulating level of calcium through intestinal and renal absorption, and bone remodeling. There are typically four parathyroid glands; however, supernumerary glands and less than four glands have been reported (Mohebati *et al.*, 2011).

The main arterial supply to the thyroid gland is derived from the superior thyroid artery, which is a branch of the external carotid artery, and the inferior thyroid artery, which is a branch of the thyrocervical trunk. A third vessel, the thyroidea ima artery, in some cases may replace the inferior thyroid artery and become one of the principal arteries supplying the gland (Hoyes and Kershaw, 1985). Venous drainage of the thyroid includes the superior, middle, and inferior thyroid veins. The superior thyroid artery and vein travel in close association within the superior pole vascular pedicle, while the middle and inferior thyroid veins travel without arteries and drain into the internal jugular vein and the internal jugular or brachiocephalic vein, respectively (Lee *et al.*, 2012). The lymphatic drainage of the thyroid gland parallels venous drainage. The lymphatic channels that accompany the superior and the middle veins drain into the upper deep nodes of the cervical chain (Mohebati *et al.*, 2011).

The principal innervation of the thyroid itself occurs via the autonomic nervous system, including parasympathetic fibers from the vagus nerve and sympathetic fibers from the superior sympathetic chain.

The thyroid lobes are in close proximity to two cervical branches of the vagus nerve on each side that innervate the larynx – the recurrent laryngeal nerve (RLN) and the superior laryngeal nerve (SLN). This anatomical relationship has important implications for laryngeal function in the setting of thyroid disorders and following thyroid surgery (Pfaff *et al.*, 2017). The embryologic origin of the RLN gives it a unique anatomical course. On the right, the RLN

branches from the vagus nerve and loops around the subclavian artery at the level of the innominate artery. It then ascends in the neck traveling from lateral to medial in an oblique course, crossing the inferior thyroid artery and eventually approaching the tracheoesophageal groove behind the common carotid artery. On the left, the RLN arises from the vagus nerve just below the aortic arch and loops medially under the aorta. It then emerges from underneath the aortic arch and enters the thoracic inlet in a paratracheal position, coursing upward along the tracheoesophageal groove, ultimately crossing the distal branches of the inferior thyroid artery (Lee et al., 2012). The approximate length of the left RLN from the aorta to the cricothyroid joint is about 12 cm, whereas the length of the right RLN from the subclavian to the cricothyroid joint is about 5–6 cm (Weisberg et al., 1997). Eventually, each recurrent laryngeal nerve enters the larynx between the inferior cornu of the thyroid cartilage and the arch of the cricoid, branching after laryngeal penetration in two-thirds of cases. In the remaining one-third of cases, the RLN branches prior to its laryngeal entry point (Lee et al., 2012). In rare cases, there exists a rare anatomic variant – non-recurrent laryngeal nerve with a reported incidence of 0.3–0.8% on the right and 0.004% on the left side (Mahmodlou et al., 2013). In that case, the nerve enters the larynx directly after its origin from the cervical vagus. The RLN provides motor innervation to all intrinsic laryngeal muscles except the cricothyroid muscle, which receives motor innervation from the external branch of the SLN (EBSLN). The RLN supplies sensation to the vocal folds and subglottic larynx, upper esophagus, and trachea as well parasympathetic innervation to the lower pharynx, larynx, trachea, and upper esophagus (Pfaff et al., 2017).

The SLN is one of the first branches of vagus separating at the nodose ganglion about four cm from the carotid bifurcation and descending posteriorly and medial to the carotid sheath. In about 1.5 cm inferiorly, the SLN divides into the internal and external branches (Randolph, 2003). The EBSLN sends motor fibers to the cricothyroid muscle and innervates parts of the intralaryngeal mucous membrane (Moran and Castro, 1951). The internal branch of the SLN (IBSLN) supplies sensation to the lower pharynx, supraglottic larynx and base of the tongue, and supplies special visceral afferents to epiglottic taste receptors (Pfaff *et al.*, 2017).

2.2 Thyroid physiology

The thyroid gland is responsible for the production of two major metabolic hormones – thyroxine (T4) and triiodothyronine (T3), which play a critical role in the regulation of the body's basal metabolic rate. The thyroid gland is composed of follicles consisting of a colloid matrix that contain the protein thyroglobulin. The colloid contains various amino acids and molecules of iodine involved in the production of thyroid hormones. Formation of thyroid hormones requires the enzyme peroxidase, which is responsible for the oxidation of

iodine. The oxidized iodine is then combined with the amino acids to form thyroid hormone, which is stored in the follicles until its release. Thyroid hormones are released from the gland in response to thyroid-stimulating hormone (TSH) from the pituitary. Ninety-seven percent of thyroid hormone released from the gland is in the form of thyroxine (T4), which is later converted to its active form, triiodothyronine (T3) in the tissues. When the level of circulating thyroid hormone is sufficient, it inhibits the pituitary from releasing more TSH (Guyton *et al.*, 2006).

2.3 Epidemiology of thyroid dysfunction

Globally, approximately 200 million people have thyroid disorders of various types, with a clear female preponderance of 4:1. Because of its often indolent initial signs and symptoms, more than 50% of these disorders remain undiagnosed (Moini *et al.*, 2019).

Population differences in iodine nutrition have a major role in the global prevalence of thyroid dysfunction. Nodular thyroid disorders are more prevalent in areas where iodine deficiency is more common, while autoimmune thyroid disorders, including Hashimoto thyroiditis and Graves disease, occur more frequently in iodine-replete populations (Taylor et al., 2018). However, there exist several other risk factors including genetic, gender, smoking status, alcohol consumption, presence of other autoimmune conditions etc. About 33% of the global population lives in an iodine-deficient area. Iodine deficiency causes low blood iodine levels, which leads to a reduction in T₄ levels and increased secretion of thyroid stimulating hormone to restore T₄ concentration. The increased TSH secretion stimulates thyroid follicular cell hyperplasia and hypertrophia leading to a diffuse enlargement of the thyroid gland (Krohn et al., 2000). Estonia is considered a mildly iodine deficient country (50-99 µg/l) (deBenoist et al., 2004). Thyroid nodules are extremely common, with up to 50% of all individuals having at least one nodule by the age of 60 years. About 5% of the global population have hypothyroidism, and about 2% have hyperthyroidism. Thyroiditis has been seen in as many as 12.5% of populations in various countries and Graves' disease affects up to 5% of females and 0.7% of males globally.

The incidence of thyroid cancer has increased dramatically during the past three decades and it is now the fastest growing cancer in women (Wiltshire *et al.* 2016). There are more than 560,000 new cases of thyroid cancer reported every year around the world (Moini *et al.*, 2019).

2.4 Thyroid dysfunction and the effect on voice and swallowing

Signs and symptoms of hyperthyroidism include excessive sweating, heat intolerance, tachycardia and other heart arrhythmias, weight loss despite increased appetite, diarrhea, anxiety, insomnia, palmar hyperhidrosis, tremors, skin thickening (especially in the pretibial region), hyperreflexia, irritability, and occasionally exophthalmos. Hypothyroidism can lead to excessive fatigue, depression, weight gain, constipation, menorrhagia and other menstrual abnormalities, impaired fertility, cold intolerance, hyporeflexia, bradycardia, periorbital puffiness, nonpitting edema, and thinning of the hair and nails (Pfaff et al., 2017).

In addition to the systemic signs and symptoms, voice disorders are among the most frequent presenting complaints in patients with thyroid disease. Previous studies have described voice and swallowing disturbances in preoperative thyroid patients in up to 76% of cases (Fiorentino *et al.*, 2011; McIvor *et al.* 2000; Viana Baptista *et al.*, 2020). In most patients, these symptoms are caused by the enlarged thyroid gland, which leads to compression of the surrounding tissues and nerves (Alfonso *et al.*, 1981; Sorensen *et al.*, 2018). According to Heman-Ackah *et al.*, 47.4% of patients who presented to their laryngology practice with vocal fold paresis were found to have underlying undiagnosed thyroid disease. The diagnoses included benign growths (29.9%), thyroiditis (7.8%), hyperthyroidism (4.5%), hypothyroidism (3.6%), and thyroid malignancy (1.6%) (Heman-Ackah *et al.*, 2011).

Additionally, the hypo- and hyperthyroid status may account for some of these complaints. The presence of thyroid hormone receptors TR- α and TR- β among the fibrous lamina propria, cartilage, and glandular elements of the human larynx, has led to the suggestion that thyroid hormones play an important role in normal laryngeal physiology. Hypothyroidism leads to increased levels of acid mucopolysaccharides in the lamina propria, which is likely to result in an osmotic increase in fluid content, leading to edema and decreased vocal fold vibration (Altman *et al.*, 2003). With thyroid hormone replacement therapy, improvement can be achieved in voice quality (Birkent *et al.*, 2008). In hyperthyroidism, voice changes are believed to result from tremor and decreased subglottic pressure caused by the weakness of the breathing and laryngeal muscles (Kovacic, 2018).

Dysphagia in thyroid dysfunction is explained by the compression of the enlarged thyroid gland on the laryngeal, pharyngeal and esophageal structures, and nerves. Reduced laryngeal elevation, upper esophageal sphincter (UES) relaxation and gastrointestinal tract motility lead to impaired bolus transport and sensation of globus (Alfonso *et al.*, 1981; İlhan *et al.*, 2014; Sorensen *et al.*, 2018; Scerrino *et al.*, 2013). Dysphagia due to thyrotoxic myopathy is a rare primary manifestation of hyperthyroidism (Chiu *et al.*, 2004).

Previous research has shown that some of the symptoms regarded as compressive have not resolved after thyroid surgery, leading to the suggestion of a

different cause of origin. The potential role of coexisting or aggravated LPR as the reason for voice and swallowing dysfunction has been considered, but the results have been rather conflicting (Fiorentino et al., 2011; Holler and Anderson, 2014). LPR is defined as an inflammatory condition of the upper aerodigestive tract tissues related to the direct and indirect effect of gastric or duodenal content reflux, inducing morphological changes in the upper aerodigestive tract (Lechien et al., 2019). The symptoms of LPR include globus sensation, pharyngeal irritation, and voice disorders, which are very similar to the complaints, caused by thyroid gland compression and may easily be confused with one another. LPR presents in about 50% of patients with voice or throat disorders (Koufman et al., 2000). An enlarged thyroid decreases UES pressure and provides a basis for LPR. UES is innervated by branches of the vagal nerves, pharyngoesophageal, superior laryngeal (SLN) and recurrent laryngeal (RLN), glossopharyngeal nerve and cervical sympathetic nerves. Motor fibers are provided by pharyngoesophageal nerve and SLN, sensory by glossopharyngeal nerve. Sympathetic nerves are regarded to innervate the mucosa, blood vessels and glands (Lang et al., 1997). The posterior branches of the RLN are anastomosed with fibers of the EBSLN and hence participate in the innervation of the cricopharyngeal muscle, a definitive component of the UES (Chandrasekhar et al., 2013). Compression of these nerves might affect the normal functioning of the sphincter.

2.5 Conventional thyroidectomy

Thyroidectomy is one of the most common interventions in endocrine surgery. Indications for thyroidectomy include a number of benign and malignant conditions such as thyroid nodules, hyperthyroidism, obstructive or substernal goiter, differentiated (papillary or follicular) thyroid cancer, medullary thyroid cancer, anaplastic thyroid cancer, and metastases to the thyroid from extrathyroidal primary cancer (most commonly renal cell cancer and lung cancer).

An incision through the skin, subcutaneous tissue, fat, and platysma is made in or parallel to a horizontal skin crease for an optimal cosmetic result, ideally 1 cm inferior to the cricoid cartilage, overlying the thyroid isthmus. Subplatysmal flaps are raised superiorly and inferiorly, leaving the anterior jugular veins down (Roman *et al.*, 2019). The strap muscles (sternohyoid and sternothyroid) are lateralized by incising the median raphe until the thyroid capsule is identified. During the removal of a large multi-nodular goiter, the strap muscles may rarely require horizontal division to improve exposure. If the division of these muscles is necessary, it should take place as high as possible to preserve the strap muscles' innervation by the ansa cervicalis (Henry *et al.*, 2008). The thyroid lobe can be pulled and rotated medially, revealing the middle thyroid vein on the anterior lateral surface of the gland, which can be ligated safely. The next step varies based on the surgeon's preference. Some address the inferior pole and lower parathyroid, whereas some address the superior pole, SLN, and

upper parathyroid gland. Others mobilize the gland partially at both the inferior and superior poles before retracting the gland medially to identify the RLN. Regardless of the approach, these next steps should identify and preserve both the superior and inferior parathyroid glands, the SLN, and the RLN (Roman *et al.*, 2019). The SLN can be preserved by meticulous dissection of the upper pole. Displacement of the RLN by a large goiter, presence of extralaryngeal RLN branching, and a nonrecurrent RLN can make identification of the RLN difficult. Once these structures have been identified and preserved, the gland can be elevated off the trachea, and Berry's ligament can be divided or cauterized using bipolar cautery to free the gland. The ligament of Berry should be divided as close to the trachea as possible, with care not to enter the trachea. In hemithyroidectomy, the isthmus can be tied off with a surgical tie or divided with a harmonic scalpel. In total thyroidectomy, the initially dissected lobe can be removed to increase the working space in the neck or left *in situ* so that the entire thyroid can be removed *en bloc* (Bliss *et al.*, 2000).

Prior to closure, the divided strap muscles and platysma are re-approximated with sutures, followed by a re-approximation of the skin. If necessary, a drain is placed to monitor the output.

2.6 Complications after thyroidectomy

Possible complications after thyroidectomy include hemorrhage, respiratory obstruction, hypocalcemia, hypothyroidism, thyroid storm, dysphonia, dysphagia, and wound infection. The most severe voice and swallowing problems are considered to be caused by injury to the RLN. Prior studies demonstrate high variability in RLN injury rates with temporary nerve damage occurring in 1.4–38.4% and permanent nerve damage in 0–18.6% of cases (Rosato *et al.*, 2004; Bergenfelz *et al.*, 2008; Jeannon *et al.*, 2009). Risk factors for RLN injury are secondary or re-operative thyroid operation, surgery for advanced disease, especially thyroid malignancy, and extended resection (Choi *et al.*, 2018; Banks *et al.*, 2012). Caroline *et al.* suggested a thyroid size greater than 5 cm to be a predictor of increased nerve injury postoperatively (Caroline *et al.*, 2012).

2.6.1 Voice disorders following thyroid surgery

The RLN innervates four intrinsic muscles of the larynx: the thyroarytenoid, posterior cricoarytenoid (PCA), lateral cricoarytenoid, and interarytenoid muscles. Muscle innervation is unilateral except for the interarytenoid muscle, which receives contributions from both RLNs. The thyroarytenoid and lateral cricoarytenoid muscles are vocal fold adductors. Unilateral denervation of these muscles results in an inability to close the glottis, leading often to a breathy voice and reduced airway protection during swallowing. After a few weeks, the contralateral vocal fold may provide some compensation by adducting further over the midline. Better glottic closure improves vocal quality and the risk of

aspiration. The PCA is the main vocal fold abductor. Paralysis of this muscle results in the inability to abduct during inspiration. Denervation of the PCA may cause the arytenoid cartilage to subluxate anteromedially in unilateral vocal fold paralysis, as PCA no longer counters the anterior pull on the arytenoid cartilage by the vocal ligament. If both PCA muscles are denervated, airway obstruction may occur (Crumley *et al.*, 1994).

Typically, 4–6 month after injury some degree of detectable reinnervation might be expected. The RLN regenerates, but this does not always ensure the useful motion of the vocal fold. The precise clinical picture will depend on the degree of reinnervation and synkinesis. Laryngeal synkinesis describes a random distribution of regenerated axons to opposing vocal fold muscles, both adductor and abductor. As a result, there occurs physiological co-activation of the laryngeal muscles during antagonistic maneuvers, resulting in the immobility or hypomobility of the vocal fold (Flint et al., 1991). The incidence of synkinesis is up to 85%. As these new axons tend to have a small diameter and a low-quality myelin sheath, muscular contractions are of poor quality or absent. Although synkinesis worsens the prognosis for motion recovery, it protects the muscle fibers from degeneration (Müller, 2020). Recent studies show that in RLN paralysis, the PCA muscle is always affected, either by atrophy or synkinesis, or a combination of both, while TA is affected only in two third of cases. This explains also the maintenance of vocal fold muscle bulk irrespective of motion deficit (Förster et al. 2021).

More subtle and non-specific are the signs and symptoms of EBSLN injury. Previous research has shown that the EBSLN is at a high risk of injury during dissection of the superior thyroid pole in approximately one-third of the patients (Barczyński et al., 2013). The EBSLN innervates the cricothyroid muscle, which controls longitudinal tension, stiffening and thinning of the vocal folds and plays an important role in controlling vocal fundamental frequency. (Sanders et al., 1993). The clinical findings described in previous studies are contradictory and range in a very large scale from barely noticeable changes to sluggish motion, shortening of the vocal fold, height difference in the vertical plane, especially in the region of the vocal process, laryngeal tilt to the weaker side etc. Classic symptoms of EBSLN injury include vocal fatigue, hoarseness, breathiness, loss of projection, volume disturbance, and loss of range, which results in a low-pitched, monotonous, and sometimes weaker voice that fatigues easily (Dursun et al., 1996; Aluffi et al., 2001). This impairment in the production of higher pitches and alteration of speaking fundamental frequency is especially troublesome for singers and other professional voice users (Soylu et al., 2007).

Analysis of patients with untreated iatrogenic vocal fold paralysis by Husain *et al.* revealed that the recovery time of voice quality may extend to more than 1 year. Of the patients 30% recovered vocal fold motion. However, mean time until vocal recovery did not differ between patients with return of motion versus no return of motion (Husain *et al.*, 2018).

2.6.2 Dysphagia following thyroid surgery

In addition to vocal fold motion disorders, injury to laryngeal nerves can result in dysphagia. Swallowing impairment of various degrees can follow either RLN or EBSLN or a combination of both.

During normal deglutition, the mechanical closure of the glottis and supraglottis acts as a physical barrier between the hypopharynx and the trachea. The larvnx elevates anterosuperiorly in conjunction with the relaxation and opening of the UES. The reflex relaxation of the pharyngoesophageal junction during laryngeal elevation results in post-cricoid negative pressure. Complete and sustained glottal closure allows a rise in subglottic air pressure, which compensates for the rise in pharyngeal pressure during swallowing. The maintenance of the closed glottis is essential for raising subglottic pressure for an efficient swallow. The suction sump increases bolus flow and causes rapid elimination of the pharyngeal content into the cervical esophagus before the laryngeal opening (Carrau et al., 1999). Injury to these nerves can result in penetration and/or aspiration due to multiple abnormalities of the laryngopharyngeal function – delayed onset of the swallowing reflex, decreased laryngeal elevation and epiglottic closure, impaired residue clearance in the valleculae and pyriform sinus, as well as incomplete glottic closure, impeding negative hypopharyngeal sump pressure for propelling bolus through the pharynx (Bhattacharyya et al., 2002; Jang et al., 2012).

2.6.3 Nonneural complications after thyroid surgery

Other possible causes of voice and swallowing disturbances after thyroid surgery in the absence of nerve injury involve localized neck pain, surgical trauma induced muscle dysfunction (both intrinsic and extrinsic laryngeal muscles), soft tissue edema, hematoma or infection, change in vascularization and venous drainage of the larynx, laryngotracheal fixation with vertical movement impairment, and psychological reaction to surgery (Sinagra *et al.*, 2004; Stojadinovic *et al.*, 2008; Dursun *et al.* 1996).

Additionally, postoperative laryngeal injury from intubation can affect voice and swallowing quality following surgery in general anesthesia. Injury may be caused either by direct intubation trauma (including hematoma, mucosal edema and dislocation or subluxation of the arytenoids) or by compression of the intubation tube cuff, which might lead to edema, inflammation and impaired laryngeal motility. When pressure from the unyielding walls of the tube exceeds capillary pressure in the mucosa of the larynx, mucosal ischemia causes irritation, inflammation, congestion and edema already within the first few hours (Gaynor *et al.*, 1985). Several risk factors may contribute to this laryngeal injury, such as difficult airway, tube type and size, cuff design and pressure, duration of anesthesia, as well as demographic factors such as sex, weight, history of smoking and LPR (Maktabi *et al.*, 2003; Kitahara *et al.*, 2005; Mencke *et al.*, 2003). In general, these complaints resolve in a few days or even

shorter time; however, some studies have reported changes in voice and swallowing function even 6 months later (Friedrich *et al.*, 2000). According to a meta-analysis by Brodsky et al., edema is the most frequently reported mild injury, with a prevalence of 9–84%. Severe injuries that include subluxation of the arytenoids and vocal fold paralysis are rare (<1%). The most prevalent patient complaints post-extubation are dysphagia (43%), pain (38%), coughing (32%), sore throat (27%), and hoarseness (27%) (Brodsky *et al.*, 2021). Laryngeal mask airway (LMA) has proven to have some advantages over endotracheal intubation (ETI) when comparing postoperative laryngopharyngeal symptoms and voice quality (Van Esch *et al.*, 2016). Use of the LMA decreases patients' subjective and objective laryngopharyngeal complaints and reduces the duration of symptoms (Chun *et al.*, 2015; Gong *et al.*, 2020).

2.6.4 Laryngopharyngeal reflux after thyroid surgery

Although several studies have described improvement in local neck symptoms after thyroid surgery, in a remarkable number of patients these complaints remain postoperatively unchanged or even worsen in the case of the intact laryngeal nerves (Lombardi *et al.*, 2006; Lombardi *et al.*, 2009; Sabaretnam *et al.*, 2012). Aggravated LPR has been suggested as a possible cause of exacerbated post-thyroidectomy voice and swallowing complaints. Thyroidectomy is thought to worsen the anti-reflux defence mechanisms, although the exact etiology and pathogenesis are still unclear.

Cusimano *et al.* proposed that the unrecognized injury of the EBSLN could lead to pharyngeal inferior constrictor muscle dysfunction, an essential component of the UES, as approximately 20% of EBSLN run through the fibers of the pharyngeal inferior constrictor muscle (Cusimano *et al.*, 2016). UES is considered to be the most important barrier against the pharyngeal reflux of gastric or duodenal contents. Scerrino *et al.* reported a postoperatively reduced UES pressure of approximately 25% after thyroidectomy, although it remained within the normal range (Scerrino *et al.*, 2013). Another theory, suggested by Cusimano *et al.*, is that injury to the sternohyoid and the sternothyroid muscle during thyroid surgery compromises elevation and anteriorization of the hyolaryngeal complex, keeping the subepiglottic space open and worsening LPR. Additionally, this downward pull leads to shortening of the cricothyroid distance and lengthening of the vocal folds, resulting in persistent and aggravated local neck symptoms (Cusimano *et al.*, 2016).

2.7 Summary of the literature review

Thyroid disorders are one of the most common endocrine disorders requiring surgical treatment. Voice and swallowing disturbances are well-known complications of both thyroid disease and surgery. However, the true causes of these complaints remain often unclear.

An enlarged thyroid gland can lead to compressive symptoms as globus sensation, dysphagia, odynophagia, and dyspnea. LPR is a condition with very similar complaints and can easily be mistaken for thyroid induced compression. As the data about LPR as a cofactor or the underlying reason for these complaints is limited, further investigation is needed to prevent unnecessary surgical interventions.

In addition, there is still much confusion regarding voice and swallowing disorders following thyroid surgery. Close proximity of the laryngeal nerves can lead to hasty generalization and hence to misinterpretation of true etiopathogenetic factors. Our hypothesis was that a substantial amount of voice and swallowing disturbances are induced by factors other than direct nerve injury.

Early correctly targeted interventions have proven to improve long-term outcomes. Therefore, it is necessary to identify the dynamic changes during the possible nerve regeneration period and to find indicative signs of permanent or temporary vocal fold palsy. Our hypothesis was that the majority of the vocal fold palsies are temporary and affect voice and swallowing quality in short term.

3. AIMS OF THE STUDY

- I To evaluate voice and swallowing disorders before thyroid surgery and the impact of symptoms on patient's quality of everyday life, as well as to explore the possible etiologic factors.
- II To evaluate voice and swallowing disorders following thyroid surgery and the impact of symptoms on patient's quality of everyday life, as well as to explore the possible etiologic factors.
- III To identify dynamic changes in postoperative voice and swallowing function during a follow-up period of 12–18 months and to find possible indicative signs of permanent or temporary vocal fold palsy.
- IV To evaluate the possible role of general anesthesia in the development of post-thyroidectomy voice and swallowing disorders.

4. MATERIALS AND METHODS

4.1 Inclusion and exclusion criteria

The present research included 118 patients of the study group who underwent either hemi- or total thyroidectomy. The control group consisted of 110 patients who underwent laparoscopic cholecystectomy with endotracheal intubation (ETI) anesthesia and 100 patients who underwent open hernioplasty or lower extremity varicectomy with laryngeal mask airway (LMA). All investigations were conducted as prospective studies. All study participants were recruited from among the preoperative patients of the Surgery Clinic of Tartu University Hospital between September 2013 and December 2016. Participation in the studies was voluntary.

Patients were excluded if they were under 18 years of age, presented a videostroboscopic finding of a preexisting vocal fold mucosal lesion (polyp, cyst, granuloma etc.), previous vocal fold paralysis, or if they failed to complete pre- and postoperative evaluations. All patients of the thyroidectomy group had a euthyroid status prior to surgery. All cholecystectomy, hernioplasty and varicectomy group's patients were interviewed about their medical history to rule out underlying thyroid disease.

4.2 Preoperative data and follow-up visits

All patients were examined preoperatively and in the 1st postoperative week at the Ear Clinic of Tartu University Hospital. In the case of a clinical finding of laryngeal injury, 1st, 6-month and 12–18-months follow-up visits were conducted. For all patients of the thyroidectomy group, irrespective of laryngeal injury, a 12–18-month follow-up visit was conducted.

The pre- and postoperative data were documented using standardized forms. The data included demographic data, weight, smoking status, occupational voice use, anesthesia method, duration of intubation, intubation tube size, cuff inflation pressure, number of intubation attempts. For thyroidectomy patients additionally thyroid specimen's weight, histologic diagnosis and extent of surgery were recorded.

At all visits, patients filled in forms of subjective evaluation of voice and swallowing and reflux complaints. In addition, laryngeal imaging by video-laryngostroboscopy (VLS), acoustic voice analysis (AVA), measurement of maximum phonation time (MPT) and perceptual voice evaluation were performed. VLS was performed during sustained vowel /e/ phonation, using either a 90-degree rigid laryngoscope (Karl Storz model 8707 DA) or a flexible fiberoptic nasopharyngolaryngoscope (Kay Pentax model VNL 8- J10) depending on the availability of the instrument and the patient's ability to cooperate with the rigid endoscope. VLS recordings were viewed and evaluated according to the Reflux Finding Score (RFS) by a blinded evaluator. To minimize any bias

due to the limited field of view in the case of the rigid endoscope, we used circular movements to get a broader overview of the laryngopharynx and the esophageal inlet.

The RFS is a clinical rating scale developed to evaluate LPR induced laryngopharyngeal changes. The score varies from 0 (normal anatomy) to 26 (serious anatomical changes). Score values above 7 have been shown to be suggestive of LPR (Belafsky *et al.*, 2001).

Patients, in whom we suspected laryngeal nerve injury based on clinical findings, underwent laryngeal electromyography (EMG) 4 weeks after operation.

4.2.1 Subjective evaluation forms

Subjective evaluations of voice, swallowing and reflux complaints were assessed by using Voice Handicap Index (VHI), Swallowing Impairment Score (SIS) and Reflux Symptom Index (RSI), respectively.

The VHI is a standardized 30-point questionnaire developed by Jacobson *et al.* in 1997, to evaluate the impact of voice disorder on the patient's quality of life. Each answer is graded 0 (never) to 4 (always) depending on the severity of the voice problem and the sum ranges from a minimum of 0 to a maximum of 120. The score is divided into three subscales – physical, functional and emotional (Jacobson *et al.*, 1997).

The SIS is a series of six questions related to the frequency of swallowing abnormality. It ranges from a minimum score of 0 (no swallowing alteration) to a maximum of 24 (most severe swallowing impairment) (Lombardi *et al.*, 2006).

The RSI is a subjective questionnaire published by Belafsky *et al.* in 2002. It consists of 9 questions regarding the patient's self-evaluation of LPR symptoms. It is highly reproducible and exhibits excellent construct and criterion-based validity. Values above 13 are regarded as pathologic (Belafsky *et al.*, 2002).

4.2.2 Acoustic Voice Analysis

Objective acoustic voice analysis (AVA) was performed by using the Multi-Dimensional Voice Program (MDVP) (Model 5105, version 3.1.7; KayPENTAX), measuring average fundamental frequency (F_0 , Hz), mean percentage vocal jitter (Jitt) and shimmer (Shim), voice turbulence index (VTI), noise-to-harmonic ratio (NHR) and soft phonation index (SPI). The microphone was positioned at a distance of approximately 20 cm from the patient's mouth. The level of environmental noise was < 30 dB. Three voice tokens of sustained vowel /a/ at habitual pitch and loudness from the mid-portion were recorded for 4 seconds each. The most stable performance of the three trials was used for data analysis. To evaluate glottic efficiency, maximum phonation time (MPT) was collected by having the patient sustain vowel /a/ for as long as possible on a single breath, following a maximum inhalation. Three trials were obtained and the longest of the three attempts was used for further data analysis.

4.2.3 Perceptual Voice Analysis

Perceptual voice analysis was performed by an experienced phoniatrician using the Grade, Roughness, Breathiness, Asthenia and Strain (GRBAS) rating scale. The scale was developed by The Committee of Phonatory Function Tests of the Japan Society of Logopedics and Phoniatrics. Each of the above-mentioned voice aspects is rated on a four-point scale ranging from 0 (normal) to 3 (severely abnormal). The scale is recommended for both clinical and research purposes by the European Laryngeal Research Group and has proven inter- and intra-rater reliability (Hirano, 1981).

4.3 Surgical and anesthetic management

All participants underwent short-term anesthesia lasting less than 150 minutes. We did not interfere with the daily routine of anesthetic management, i.e. the medical conditions remained unchanged. In the group of thyroidectomy and laparoscopic cholecystectomy a single-use polyvinylchloride ETI was used and in the group of hernioplasty and varicectomy a LMA of appropriate size was used. The cuff was inflated up to a point of air-leakage stop and pressure was measured and recorded. Postoperative analgesic management followed the hospital's protocol.

In patients of the thyroidectomy group conventional transcervical thyroidectomy was performed. Strap muscles were retracted. Recurrent laryngeal nerves were identified in the majority of cases and efforts were made to preserve the nerves' anatomic integrity and function. EBSLN preservation was attempted by meticulous preparation of the superior poles of the thyroid gland. No intraoperative nerve monitoring (IONM) was applied.

4.4 Statistical analysis

Statistical analysis was performed using the TIBCO StatisticaTM version 10.0 software package and R software (R Core Team, 2019). Data was expressed as mean with standard deviation (\pm SD) or median with the interquartile range (IQR). Normally distributed paired data was analyzed using a paired t-test, nonnormally distributed data was analyzed using the Wilcoxon signed rank test. For independent samples, t-test or the Mann-Whitney U test was employed. Significant differences in categorical data between the groups were tested by Chi-Square test or Fisher's exact test. Spearman rank order correlation and odds ratio were used to determine relationship between variables. Reliability analyses were expressed using weighted Cohen's kappa. Statistical significance was defined as a p value less than 0.05 for all parameters.

4.5 Ethical Considerations

Participation in the studies was voluntary. All subjects were informed about the nature of the studies and, after signing the informed consent form approved by the ethics committee, they were enrolled in the study. Approval was obtained from the Research Ethics Committee of the University of Tartu, license no. 212/T-7.

5. RESULTS

5.1 Preoperative voice and swallowing function in thyroid patients (II)

Table 1 presents the clinicopathologic characteristics of the study and control groups. The study group consisted of 118 preoperative thyroid patients, ranging in age from 18 to 83 years. The control group consisted of 110 preoperative cholecystectomy patients, ranging in age from 23 to 82 years. Both groups were matched in terms of gender and age distribution. Smoking as a vocal risk factor did not differ between groups. The choice of cholecystectomy patients for the control group was based on the similar predominance of female gender among the thyroid and cholelithiasis patients.

Table 1. Clinicopathologic characteristics of the thyroidectomy and cholecystectomy (control) groups.

Characteristic	Thyroid patients	Controls	p value
	(N=118)	(N=110)	
Age (yr)	57.63 ± 16.28	55.1±16.96	0.252
Gender			
male, n (%)	19 (16.1)	27 (24.55)	0.112
female, n (%)	99 (83.9)	83 (75.46)	
Current smoking, n (%)	16 (13.56)	19 (17.27)	0.437
Histological diagnosis, n (%)			
nodular	81 (68.64)		
autoimmune	15 (12.71)		
malignant	21 (17.8)		
normal tissue	1 (0.85)		
Thyroid weight (g)	42 (29–101)		

Values are expressed as means (±SD), prevalence (%) and medians (IQR).

We found no statistical difference in the subjective evaluation of voice (VHI) between the study and control groups, either in the total score, or in any of the subscales (Table 2). The most notable difference was detected in the emotional subscale of the VHI score, but it was statistically insignificant (p=0.074).

The overall distribution of voice disturbances was also homogenous in both groups. In the thyroid patients' group 4 patients felt severe handicap (3.4%), 12 patients felt moderate handicap (10.2%) and 102 patients felt mild handicap (86.4%) of whom 20 scored 0 (17%). In the cholecystectomy patients' group 3 patients reported severe handicap (2.7%), 8 patients (7.3%) reported moderate handicap and 99 patients (90%) reported mild handicap of whom 20 scored 0 (18.2%). Moreover, perceptual evaluation of voice quality too, showed no detectable difference in the voices of the patients of the study and control group in any of the GRBAS subscales (Table 2).

Table 2. Comparison of preoperative subjective evaluation of voice, swallowing, reflux complaints, clinical findings of reflux and perceptual evaluation of voice.

Variables	Thyroid patients	Controls	p value
	(N=118)	(N=110)	
VHI functional	2.00 (0.00-7.00)	2.00 (0.00-6.00)	0.811
VHI physical	4.00 (0.00–11.00)	2.00 (0.00-7.00)	0.198
VHI emotional	0.00 (0.00–3.00)	$0.00 \ (0.00 - 2.00)$	0.074
VHI total	7.00 (1.00–20.00)	6.00 (2.00–13.00)	0.328
SIS	4.00 (1.00–7.00)	1.00 (0.00-5.00)	0.001
RSI	8.50 (3.00–17.00)	5.00 (3.00–11.00)	0.006
RFS	7.00 (4.00–10.00)	8.50 (5.00–11.00)	0.220
G	1.00 (0.00–1.00)	1.00 (0.00–1.00)	0.538
R	1.00 (0.00–1.00)	1.00 (0.00–1.00)	0.343
В	$0.00 \ (0.00-1.00)$	$0.00 \ (0.00-1.00)$	0.202
A	$0.00 \ (0.00-1.00)$	$0.00 \ (0.00-1.00)$	0.095
S	$0.00 \ (0.00 - 0.00)$	0.00 (0.00 - 0.00)	0.824

Values are expressed as medians (IQR).

 $VHI-Voice\ Handicap\ Index,\ SIS-Swallowing\ Impairment\ Score,\ RSI-Reflux\ Symptom\ Index,\ RFS-Reflux\ Finding\ Score,\ G-grade,\ R-roughness,\ B-breathiness,\ A-asthenia,\ S-strain$

We found further confirmation that there were no remarkable differences in the voice quality of the preoperative thyroid patients, using AVA and MPT (Table 3). However, we did note higher values in the thyroid group patients' jitter and NHR values, but statistically significant differences were not found between any of the investigated parameters of the MDVP.

Table 3. Comparison of preoperative acoustic analysis of voice and phonatory efficiency

Variables	Thyroid patients (N=85)	Controls (N=78)	p value
Fo (Hz)	162.91 (118.95–201.77)	159.12 (116.42–206.02)	0.736
Jitter (%)	1.29 (0.61–2.18)	0.88 (0.53–1.61)	0.066
Shimmer (%)	5.68 (3.32–9.13)	5.15 (3.84–6.87)	0.420
NHR	0.15 (0.13-0.18)	0.14 (0.13-0.16)	0.094
SPI	14.40 (9.77–20.87)	15.27 (10.24–22.33)	0.585
MPT (sec)	15.1 (12.05–18.45)	14.35 (11.90–17.90)	0.694

Values are expressed as medians (IQR).

Fo – Fundamental frequency, NHR – Noise to harmonics ratio, SPI – Soft phonation index, MPT – maximum phonation time

To identify if any specific aspect of voice quality disturbs thyroid patients the most, we used Spearman's correlation coefficient to determine the relationship between VHI total score and MDVP parameters. However, we found no correlation between the studied variables. Moreover, there was no correlation between VHI scores and weight of the thyroid gland postoperative histologic specimen.

The SIS revealed significantly worse swallowing function in the group of thyroid patients (p=0.006; Table 2). Ninety patients (73.3%) reported swallowing symptoms at least some of the time based on SIS score in thyroid patients versus 63 patients (57.3%) in the control group.

We also searched for a relationship between swallowing impairment and weight of the thyroid gland but found no correlation (ρ =-0.082). Comparison of the subjective evaluation of reflux complaints (RSI) showed that the patients of the thyroid group have significantly higher values compared to the control group. Of thyroid patients 31% had RSI scores above the normative value (>13) versus 19% for cholecystectomy patients. Nevertheless, the clinical LPR signs evaluated by RFS obtained equal scores in both groups.

To clarify if any specific LPR symptom of the RSI led to an increase in the overall score for thyroid patients, we compared the scores of each single question between the study group and the control group (Table 4). The results revealed that all questions about local neck symptoms (nos. 2 to 8) obtained significantly higher scores for the patients of the thyroid group, whereas, question no. 9, which was specifically directed to reflux complaints (heartburn, chest pain, indigestion or stomach acid coming up), showed higher scores for the patients of the control group. Question no. 1 about voice disorders showed no difference between the two groups, which is in accordance with our previous analysis.

Table 4. Comparison of Reflux Symptom Index questions

Question no.	Thyroid patients (N=118)	Controls (N=110)	p value
1. Hoarseness or a problem with your voice	0.00 (0.00–2.00)	0.00 (0.00–1.00)	0.088
2. Clearing your throat	1.00 (1.00–3.00)	1.00 (0.00–2.00)	<0.001
3. Excess throat mucus or postnasal drip	1.00 (0.00–3.00)	0.00 (0.00–1.50)	0.011
4. Difficulty swallowing food, liquids or pills	0.00 (0.00–1.00)	0.00 (0.00–0.50)	0.032
5. Coughing after you ate or lying down	1.00 (0.00–2.00)	0.00 (0.00–1.00)	0.001
6. Breathing difficulties or chocking episodes	0.00 (0.00-2.00)	0.00 (0.00-0.00)	0.001
7. Troublesome or annoying cough	1.00 (0.00–2.00)	0.00 (0.00–1.00)	0.002
8. Sensation of sth. sticking in your throat or a lump in your throat	1.00 (0.00–3.00)	0.00 (0.00–1.00)	0.001
9. Heartburn, chest pain, indigestion or stomach acid coming up	1.00 (0.00–2.00)	1.00 (0.00–2.50)	0.071

Values are expressed as medians (with 25% and 75% percentiles).

Furthermore, we investigated the correlation between RSI and SIS, as well as between RFS and SIS, in the group of thyroid patients and found strong positive correlation between RSI and SIS (ρ =0.641), but no correlation between RFS and SIS (ρ =-0.002).

When searching for correlation between thyroid specimen's weight and reflux scores, we found a weak positive correlation between thyroid weight and RFS (ρ =0.379), but no correlation between thyroid weight and RSI (ρ =-0.085).

5.2 Voice and swallowing disorders after thyroid surgery (III)

The study flowchart is presented in Figure 1. The patient and operative data are presented in Table 5.

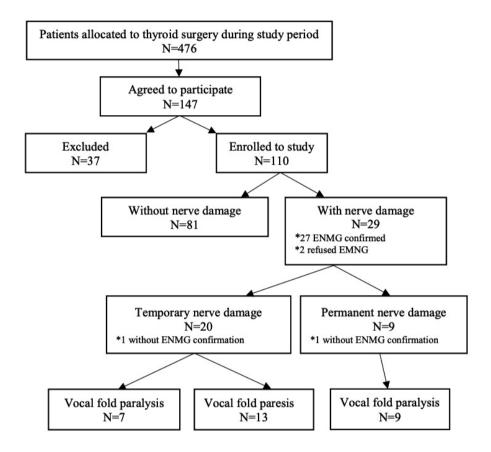


Figure 1. Study flowchart (Voice and swallowing changes after thyroid surgery III)

Table 5. Baseline characteristics of thyroidectomy patients

Characteristic	Thyroid patients (N=110)
Age (yr)	57.3±16.5
Gender, n (%)	
male	18 (16.4)
female	92 (83.6)
Current smoking, n (%)	15 (13.6)
Histological diagnosis, n (%)	
benign goiter	77 (70.0)
autoimmune	15 (13.6)
malignant	18 (16.4)
Type of surgery, n (%)	
total thyroidectomy	79 (71.8)
lobectomy	31 (28.2)
Thyroid weight (g)	71.2 (±61.8)
Duration of intubation (min)	93.0 (±28.1)

Values are expressed as means (±SD) and prevalence (%).

In the first postoperative week videostroboscopic evaluation, we suspected laryngeal nerve injury in 31 patients. Of these, 29 agreed to undergo laryngeal EMG, which revealed some degree of nerve injury in 27 patients. Two patients refused to pass the procedure (one with paralysis and one with paresis) and in two patients EMG confirmed normal function of the laryngeal nerves. Out of these 27 patients, one had bilateral vocal fold paralysis (3.7%), 14 patients had unilateral paralysis (51.9%), 7 right-sided and 7 left-sided, and 12 patients showed signs of vocal fold paresis (44.4%).

Based on the dynamic evaluation of laryngeal anatomy within the 12–18-month period after surgery, we concluded that 19 cases (70.4%) of the EMG-confirmed nerve injury turned out to be temporary, which usually resolved by the 6th month. In addition, one patient with clinical signs of paresis, who refused to undergo the EMG procedure, showed clinical recovery within 6 months. Minimal deficiencies were noted after the 12–18-month monitoring period in 4 patients (slight shortening of the vocal fold, mild motion deficiency). Seven out of these transitory injuries manifested as vocal fold paralysis. Permanent paralysis was observed in 8 patients (29.6%), plus one patient who refused to undergo the EMG procedure but showed distinct persistent clinical signs. One patient suffered from bilateral vocal fold paralysis, five left-sided and three right-sided.

When analyzing the videostroboscopic findings of patients with the clinical signs of vocal fold paralysis, we detected arytenoid prolapse in seven patients with permanent paralysis; two patients had a normal position of the arytenoid cartilage. In five patients the position of the arytenoid had not altered over time, whereas in two patients it had normalized by the 6th month follow-up visit. All patients with temporary paralysis had a anteromedial position of the arytenoid cartilage, in four patients we observed improvement in vocal fold motion and

arytenoid position by the first month and in three patients by the 6th month. Furthermore, we could not detect any consistency in the vocal fold contour: in both groups, either with permanent or temporary paralysis, we identified both straight (7 vs 4) and bowing vocal folds (2 vs 3).

Due to the relatively high drop-out rate in our study, we could not identify the precise incidence of postoperative vocal fold palsy. The failure to attend the postoperative follow up visits by our patients was almost exclusively explained by the lack of subjective complaints. Taking the above-mentioned fact into consideration, the incidence of permanent paralysis in our study could vary between 6.1–8.2% and temporary nerve injury (including paralysis, paresis as motion deficits and loss of tonicity) between 12.2–18.2%.

In a considerable number of patients (N14), we detected postoperative motion deficits and bilateral loss of tonicity, which resulted in evident subjective and objective voice disturbances. In all of these patients, except for one, EMG confirmed either a bi- or unilateral RLN injury and in seven patients an additional EBSLN injury. In the majority of the cases, this distinct clinical finding resolved by the 6th month follow-up visit, with two exceptions that persisted longer with remission observed at the last follow-up visit. All these patients had undergone total thyroidectomy.

To analyze the influence of laryngeal nerve injury on postoperative voice and swallowing function we divided the patients into two groups — with or without postoperative nerve injury. The patients of both groups had similar baseline characteristics regarding age, sex, duration of intubation, size of the intubation tube, pressure of the intubation tube cuff, preoperative values of VHI, SIS, RSI, RFS, F₀, Jitt, Shim, NHR, MPT, smoking status and daily voice use

Our study confirmed the association between large thyroid weight and increased risk of laryngeal nerve injury, as we found a significant difference in the thyroid gland histologic specimen's weight (p=0.02). Median thyroid weight in patients without nerve injury was 40.0g (27.0–75.3), compared to 79.0g (37.5–128.0) in patients without nerve injury. We found no correlation between older age, smoking status, thyroid disease or the extent of surgery and increased risk of nerve injury.

Analysis of changes regarding the subjective and objective voice characteristics at the first postoperative week revealed that patients with intact laryngeal nerves postoperatively had no objective voice changes. Subjective evaluation showed a slight decline in the VHI physical domain and increased strain in voice. In contrast, patients with nerve injury showed a drastic decline both in subjective voice quality (all VHI subscales and total score) and jitter parameter in acoustic voice analysis. Furthermore, perceptual voice analysis showed disturbances in all rated scales – overall voice quality, roughness, breathiness, asthenia and strain. The decline in maximum phonation time was indicative of increased glottic insufficiency (p=0.005) (Table 6).

Table 6. Voice changes in patients at 1st postoperative week with and without laryngeal nerve injury.

Variables	Without laryngeal	p value vs	With laryngeal nerve	p value vs
	nerve injury (N=81)	pre-op	injury (N=29)	pre-op
VHI functional				
pre-op	3 (0–7)		2 (0–5)	
1 week	4 (0–9)	0.295	16 (5–21)	< 0.001
VHI physical				
pre-op	4 (0–11)		3 (0–10)	
1 week	5 (1–12)	0.048	18 (11–30)	< 0.001
VHI emotional				
pre-op	1 (0–3)		0 (0–2)	
1 week	0 (0–5)	0.390	9 (1–14)	< 0.001
VHI total				
pre-op	9 (2–20)		7 (0–15)	
1 week	9 (3–25)	0.119	38 (21–74)	< 0.001
SIS				
pre-op	5.6 (±5.3)		$4.7 (\pm 5.2)$	
1 week	$7.1 (\pm 6.7)$	0.014	$8.0 (\pm 5.8)$	0.001
Fo (Hz)				
pre-op	158.9 (±50.9)		178.8 (±45.5)	
1 week	161.1 (±46.7)	0.726	153.7 (±50.0)	0.056
Jitter (%)				
pre-op	1.40 (0.61–2.02)		0.93 (0.75–2.29)	
1 week	0.96 (0.58–1.64)	0.05	1.94 (1.14–3.34)	0.024
Shimmer (%)				
pre-op	5.69 (3.30-9.07)		5.42 (3.53-8.59)	
1 week	5.53 (4.10–8.85)	0.704	8.29 (6.15–10.51)	0.141
NHR				
pre-op	0.14 (0.13–0.18)		0.16 (0.13-0.20)	
1 week	0.15 (0.13–0.17)	0.877	0.16 (0.14-0.23)	0.432
MPT (sec)	` '		, ,	
pre-op	16.7 (±6.7)		14.3 (±4.6)	
1 week	15.7 (±6.2)	0.116	11.2 (±5.7)	0.005
G				
pre-op	1 (0–1)		1 (0.5–1)	
1 week	1 (0–2)	0.228	1 (0.5–1)	< 0.001
R	,		,	
pre-op	1 (0–1)		0 (0–1)	
1 week	1 (0–1)	0.107	1 (0-2)	0.007
В	• /		` /	
pre-op	0 (0–1)		1 (0–1)	
1 week	0 (0–1)	0.88	2 (1–2)	0.006
A	* *		` '	
pre-op	0 (0–1)		1 (0–1)	
1 week	0 (0–1)	0.858	1 (1–2)	< 0.001
S	,		,	
pre-op	0 (0-0)		0 (0–0)	
1 week	0 (0–0)	0.049	1 (0–1.5)	0.003

Values are expressed as medians (IQR) or means (±SD).

VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, NHR – Noise to Harmonics Ratio, MPT – Maximum Phonation Time, G – grade, R – roughness, B – breathiness, A – asthenia, S – strain

The analysis of first postoperative week subjective swallowing function revealed remarkable disturbances in all postoperative patients regardless of the presence of the nerve injury (p=0.014, p=0.001 resp). However, patients with postoperatively intact laryngeal nerves showed quick resolution of complaints already by the 1st month follow-up visit. Furthermore, at the end of the follow-up period, we detected a significantly improved swallowing function compared to the pre-operative status (p=0.008). In contrast, for patients with nerve injury, the increased values of SIS score were evident during the whole follow-up period, although statistically not significant from the 6th month visit (Table 7).

Table 7. Dynamic changes of Swallowing Impairment Score in patients with or without laryngeal nerve injury.

SIS	Without laryngeal	p value	With laryngeal	p value	p value
	nerve injury	VS.	nerve injury	VS.	group A
	(group A)	pre-op	(group B)	pre-op	VS
	n mean±SD		n mean±SD		group B
Pre-op	5.6±5.3		4.7±5.2		0.368
1 week	81 7.1±6.7	0.014	29 8.0±5.8	0.001	0.246
1 month	27 5.0±5.0	0.299	29 6.9±6.0	0.017	0.211
6 month	11 5.0±5.9	0.450	28 6.2±6.4	0.149	0.556
>12 month	75 4.2±4.5	0.008	28 5.4±5.4	0.438	0.412

SIS Swallowing Impairment Score

Depending on clinical recovery during the follow-up period, we divided the patients with vocal fold palsy into two groups – patients either with temporary (N20) or with persistent vocal fold palsy (N9). These groups also included two patients who refused to pass EMG evaluation but showed clinical signs of palsy. Further on, we analyzed the postoperative dynamic changes in voice and swallowing quality for these two groups. The most remarkable changes during the follow-up period in both groups were observed in the values of VHI scores, jitter and perceptual voice quality (Table 8). When analyzing the VHI subscales, the most drastic decline became evident in the physical domain (pVHI). Swallowing impairment was evident in both groups but was statistically significant only in patients with temporary palsy, whereas reduced phonatory efficiency was only seen in patients with permanent nerve injury.

Table 8. Dynamic changes of voice and swallowing quality during the follow-up period in patients with temporary and permanent vocal fold palsy.

	Temporary palsy (n=20, group A)	p value vs.	Permanent palsy (N= 9, group B)	p value vs.	p value group A vs group B
VHIf					
Pre-op	1 (0-5)		3 (1–7)		0.346
1 week	10 (3–20)	< 0.001	20 (17–28)	0.009	0.056
1 month	12 (6–22)	< 0.001	24 (18–27)	0.004	0.056
6 months	4 (0–11)	0.115	8 (4–19)	0.142	0.263
>12 months	2 (0–10)	0.241	8 (0–19)	0.205	0.593
VHIp	,		. ,		
Pre-op	3.5 (0–11)		3 (1–14)		0.777
1 week	16 (7–21)	< 0.001	27 (18–34)	0.009	0.028
1 month	17 (9–28)	< 0.001	26 (18–34)	0.004	0.099
6 months	7 (0–16)	0.054	20 (6–26)	0.142	0.088
>12 months	5 (0–12)	0.084	11 (3–22)	0.205	0.347
VHIe	,		,		
Pre-op	0 (0-2)		0 (0-4)		0.982
1 week	7 (0–13)	0.004	10 (6–23)	0.009	0.172
1 month	9 (2–18)	< 0.001	17 (5–25)	0.014	0.540
6 months	0 (0–9)	0.032	9 (1–13)	0.074	0.253
>12 months	0 (0-6)	0.125	3 (0–18)	0.106	0.461
VHItotal	. ()		- ()		
Pre-op	8 (0–15)		6 (2–24)		0.604
1 week	28 (17–53)	< 0.001	57 (39–84)	0.004	0.040
1 month	39 (17–67)	< 0.001	57 (39–82)	0.004	0.151
6 months	10 (0–32)	0.050	43 (10–51)	0.151	0.195
>12 months	8 (0–26)	0.142	22 (3–57)	0.142	0.401
SIS	,		,		
Pre-op	4.0 ± 4.0		6.3 ± 7.1		0.525
1 week	6.9±4.9	0.004	10.6±7.0	0.109	0.258
1 month	5.7±4.8	0.036	9.6 ± 7.6	0.192	0.268
6 months	5.2±6.0	0.271	8.9±7.1	0.393	0.155
>12 months	4.6±4.9	0.428	7.3±6.5	0.828	0.321
G					
	1 (0.5–1)		1 (0.5–1.5)		0.525
		0.001		0.012	
1 month					
6 months					
R	(-)		(-)		
Pre-op	0.5(0-1)		0 (0–1)		0.869
1 week		0.011		0.395	
1 month	, ,		, ,		
	,				
>12 months			1 (0.5–2.5)		0.978
В	` /		` '		
	0 (0-1)		1 (0–1)		0.169
1 week	, ,	0.014		0.019	
	· /			0.424	
>12 months			` '	0.999	
>12 months R Pre-op 1 week 1 month 6 months >12 months B Pre-op 1 week 1 month 6 months	1 (0.5-1) 2 (1.5-2.5) 1 (1-2) 1 (1-2) 1 (1-1.5) 0.5 (0-1) 2 (0-2) 1 (0-2) 1 (0-1.5) 1 (1-2) 0 (0-1) 1 (1-2) 1 (0-1) 1 (0-1) 0.5 (0-1)	0.001 0.014 0.015 0.042* 0.011 0.029 0.080 0.006 0.014 0.318 0.627 0.608	1 (0.5-1.5) 2 (2-3) 2 (2-3) 2 (1.5-2) 1 (1-2.5) 0 (0-1) 0 (0-2.5) 1 (0-1.5) 1 (0-1) 1 (0.5-2.5) 1 (0-1) 2 (1.5-3) 2 (1-3) 1 (0.5-1.5) 1 (0-1)		0.525 0.278 0.039 0.084 0.321 0.869 0.509 0.491 0.576 0.978 0.169 0.085 0.009 0.222 0.593

	Temporary palsy (n=20, group A)	p value vs.	Permanent palsy (N= 9, group B)	p value vs.	p value group A vs
A		pre-op		pre-op	group B
Pre-op	1 (0–1)		0 (0–1)		0.795
1 week	1 (1–2)	0.003	2 (0.5–3)	0.019	0.480
1 month	1 (1–2)	0.003	2 (0.3–3)	0.013	0.480
6 months	1 (1-2)	0.105	2 (1–2)	0.027	0.063
>12 months	1 (0–1)	0.105	1 (0.5–2)	0.031	0.593
S S	1 (0 1.5)	0.103	1 (0.3 2)	0.007	0.575
Pre-op	0 (0-0)		0 (0-0.5)		0.621
1 week	0.5 (0–1.5)	0.013	1 (0–1.5)	0.168	0.982
1 month	0.5 (0 1.5)	0.013	1 (0–1)	0.203	0.572
6 months	0 (0-1)	0.048	0 (0–1)	0.423	0.859
>12 months	0 (0-1)	0.048	0 (0-1)	0.586	0.980
F_0	0 (0 1)	0.0.0	0 (0 1)	0.000	0.,00
Pre-op	185.7±43.5		164.1±49.0		0.336
1 week	159.4±39.1	0.073	141.6±69.5	0.439	0.749
1 month	163.7±49.4	0.195	138.9±44.5	0.207	0.178
6 months	182.3 ± 46.0	0.822	184.3±63.4	0.227	0.741
>12 months	198.8 ± 45.6	0.361	200.2±95.3	0.292	0.461
Jitt					
Pre-op	0.93 (0.68-2.45)		1.07 (0.73–2.06)		0.816
1 week	1.78 (1.11–2.23)	0.353	3.4 (2.04–5.63)	0.008	0.045
1 month	3.15 (1.71–5.00)	0.011	3.65 (2.38–4.22)	0.016	0.957
6 months	0.75 (0.54–0.96)	0.045	2.19 (0.78–3.85)	0.156	0.039
>12 months	0.99 (0.51–1.31)	0.089	1.15 (0.78–2.95)	0.938	0.274
Shim					
Pre-op	4.94 (3.42-8.78)		6.62 (3.74–11.87)		0.662
1 week	7.11 (6.10-8.90)	0.353	12.12 (6.75–23.79)	0.313	0.154
1 month	11.29 (6.54–15.68)	0.064	9.96 (7.20–15.63)	0.25	0.999
6 months	5.18 (4.00–6.63)	0.782	7.93 (5.62–15.41)	0.375	0.05
>12 months	4.94 (3.14–8.03)	0.459	5.58 (3.75–6.12)	0.297	0.98
NHR					
Pre-op	0.16 (0.13–0.22)		0.17 (0.13–0.19)		0.977
1 week	0.16 (0.14–0.21)	0.938	0.24 (0.13–0.49)	0.195	0.522
1 month	0.2 (0.15–0.33)	0.169	0.18 (0.15–0.25)	0.945	0.518
6 months	0.13 (0.12–0.15)	0.115	0.17 (0.13–0.24)	0.297	0.037
>12 months	0.13 (0.12–0.16)	0.015	0.14 (0.12–0.22)	0.297	0.309
MPT					
Pre-op	14.5±5.3		13.9 ± 3.3		0.977
1 week	13.2 ± 5.8	0.274	7.0 ± 2.6	0.001	0.005
1 month	12.8 ± 5.4	0.120	6.1±2.6	< 0.001	< 0.001
6 months	14.5±5.8	0.976	9.2±4.5	0.045	0.109
>12 months	14.2±5.2	0.754	10.3±5.3	0.167	0.079

Values are expressed as medians (IQR) or means (±SD).

VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, NHR – Noise to Harmonics Ratio, MPT – Maximum Phonation Time, G – grade, R – roughness, B – breathiness, A – asthenia, S – strain

To identify any possible prognostic factors for recovery of laryngeal nerve palsy, we additionally analyzed differences between the two groups in different time points of the follow-up period (Table 8). We did note visible differences at the 1st week and 1st month visits between the two groups in several parameters. The most strongly affected variables were VHI total score and physical domain, maximum phonation time, overall perceptual voice quality (G), breathiness (B) and asthenia (A) (Table 4).

However, regardless of nerve injury, by the end of the follow-up period, all changes in the monitored parameters had recovered to preoperative or near-preoperative values with the exception of perceptual evaluation of voice quality.

5.3 Endotracheal intubation as a possible etiologic factor for postoperative voice and swallowing disturbances (I)

A total of 203 patients completed the study. The ETI group consisted of 100 patients (25 men, 75 women), age range 21 to 82 years. The LMA group consisted of 103 patients (82 men, 21 women), age range 22 to 82 years. Age distribution between the two anesthesia groups was closely matched (p = 0.343). Both groups were comparable in terms of duration of anesthesia and anesthetic management. Since gender distribution was unequal, we divided both groups by gender and compared the results accordingly to avoid a gender bias (Table 9).

Table 9. Baseline characteristics of ETI and LMA group patients.

Characteristic L		MEN				
	LMA group	ETI group	p value	p value LMA group	ETI group	p value
T)	(n=82)	(n=25)		(n=21)	(n=75)	
Age (years) 5'	57.3 (±15.2)	$58.9 (\pm 12.8)$	0.627	$54.0 (\pm 12.9)$	$53.0 (\pm 18.2)$	0.815
~	$3.9 (\pm 10.4)$	$7.7 (\pm 8.9)$	0.590	$8.6 (\pm 8.0)$	$11.6 (\pm 14.6)$	0.369
SIS	[.8 (±2.9)	$2.6 (\pm 3.9)$	0.267	$3.8 (\pm 4.2)$	$3.1 (\pm 4.1)$	0.513
$F_0(Hz)$ 1.	21.9 (±22.5)	$112.8 (\pm 19.2)$	0.132	$198.1~(\pm 62.5)$	$178.0 (\pm 47.3)$	0.204
1	$.17 (\pm 1.33)$	$0.67 (\pm 0.27)$	0.128	$1.67 (\pm 3.07)$	$1.70 (\pm 1.83)$	0.956
3 (%)	(±3.27)	$4.65 (\pm 1.79)$	0.311	$5.56 (\pm 4.43)$	$6.68 (\pm 5.48)$	0.496
_	$0.15 (\pm 0.04)$	$0.14 (\pm 0.02)$	0.074	$0.16 (\pm 0.06)$	$0.17 (\pm 0.11)$	0.718
0	$0.05 (\pm 0.01)$	$0.05 (\pm 0.02)$	0.964	$0.04 (\pm 0.02)$	$0.05 (\pm 0.02)$	0.116
SPI 1	$7.04 (\pm 9.15)$	$17.38 (\pm 9.17)$	0.893	$20.65 (\pm 17.89)$	$16.26 (\pm 7.63)$	0.176
MPT (sec) 2.	22.7 (±8.9)	$23.2 (\pm 8.6)$	0.857	$19.2 (\pm 8.5)$	13.6 (±4.3)	0.001

LMA – laryngeal mask airway, ETI – endotracheal intubation, VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, F₀ – mean fundamental frequency, NHR – Noise to Harmonics Ratio, VTI – Voice Turbulence Index, SPI – Soft Phonation Index, MPT – Maximum phonation time

VLS showed visual changes in pharyngeal and laryngeal anatomy (vocal fold hyperemia or hematoma, subglottic hematoma, vocal fold vibratory changes, movement disorder of vocal fold etc.) between the baseline and postoperative findings in 4 (4%) patients of the LMA group and 14 (13.6%) patients of the ETI group (p=0.02).

Subjective evaluation of voice (VHI) showed no statistically significant postoperative deterioration irrespective of the ventilation method either in male or female patients. Additionally, perceptual evaluation of voice (GRBAS) revealed no voice changes in any patient group. We found a trend of decline in the subjective evaluation of swallowing function (SIS) in female patients in the ETI group (p=0.067). In male patients, swallowing function showed no evident postoperative changes in either ventilation group.

Furthermore, in female patients, acoustic voice analysis demonstrated a significant increase of the SPI value in the ETI group (p=0.037). In the LMA group, no statistically significant changes were found in any of the investigated acoustic parameters (Table 10). In male patients we noted an increase in mean fundamental frequency (F_0) both in the ETI (p=0.034) and LMA (p=0.055) groups (Table 11).

The MPT values were postoperatively significantly worse in male patients in the case of both ventilation methods (ETI p=0.03; LMA p<0.001), whereas female patients showed no decrease in MPT values in either group.

When we compared changes from the baseline values in the evaluated parameters and scores between the groups regarding the two anesthesia methods, the only statistically significant difference occurred in SPI for female patients (p=0.003) (Table 12). We detected also a marked difference in changes of SIS score in female patients, but it was statistically not significant (p=0.07). Evaluation of changes revealed no difference between the two anesthesia methods regarding F_0 and MPT values for either gender.

Table 10. Comparison of pre- and postoperative acoustic analysis of female patients.

		ETI group			LMA group	
Variables	Preoperative	Postoperative	p value	Preoperative	Postoperative	p value
	mean (±SD)	mean (±SD)		mean (±SD)	mean (±SD)	
$F_0(Hz)$	178.0 (±47.3)	185.4 (±47.4)	0.242	198.1 (±62.5)	$192.4 (\pm 53.9)$	0.621
Jitter (%)	$1.71 (\pm 1.83)$	$1.83 (\pm 2.12)$	0.658	$1.67 (\pm 3.07)$	$1.65 (\pm 2.75)$	0.932
Shimmer (%)	$6.68 (\pm 5.48)$	$7.07 (\pm 5.86)$	0.655	5.56 (±4.43)	$6.82 (\pm 7.1)$	0.294
NHR (dB)	$0.17 (\pm 0.11)$	$0.17 (\pm 0.11)$	0.874	$0.16 (\pm 0.06)$	$0.16 (\pm 0.09)$	0.801
VTI	$0.05 (\pm 0.02)$	$0.04 (\pm 0.02)$	0.442	$0.04 (\pm 0.02)$	$0.04 (\pm 0.02)$	0.899
SPI	$16.26 (\pm 7.63)$	$18.34 (\pm 8.83)$	0.037	$20.66 (\pm 17.89)$	14.55 (±5.77)	0.209
MPT (sec)	$13.6 (\pm 4.3)$	$13.19 (\pm 4.2)$	0.350	$19.2 (\pm 8.5)$	$18.3 (\pm 8.9)$	0.440

LMA – laryngeal mask airway, ETI – endotracheal intubation, VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, F₀ – mean fundamental frequency, NHR – Noise to Harmonics Ratio, VTI – Voice Turbulence Index, SPI – Soft Phonation Index, MPT – Maximum phonation time

Table 11. Comparison of pre- and postoperative acoustic analysis of male patients.

		ETI group			LMA group	
Variables	Preoperative	Postoperative	p value	Preoperative	Postoperative	p value
	mean (±SD)	mean (±SD)		mean (±SD)	mean (±SD)	
$F_0(Hz)$	$112.8 (\pm 19.2)$	$118.6 (\pm 19.2)$	0.034	$121.9 (\pm 22.5)$	125.3 (±22.1)	0.055
Jitter (%)	$0.67 (\pm 0.27)$	$0.72 (\pm 0.36)$	0.566	$1.17 (\pm 1.33)$	$1.16 (\pm 1.02)$	0.930
Shimmer (%)	$4.65 (\pm 1.79)$	$4.64 (\pm 1.80)$	0.992	$5.49 (\pm 3.27)$	$5.64 (\pm 3.27)$	0.507
NHR (dB)	$0.14 (\pm 0.02)$	$0.14 (\pm 0.02)$	0.193	$0.15 (\pm 0.04)$	$0.15 (\pm 0.05)$	0.942
VTI	$0.05 (\pm 0.02)$	$0.04 (\pm 0.02)$	0.336	$0.05 (\pm 0.01)$	$0.04 (\pm 0.01)$	0.184
SPI	$17.38 (\pm 9.17)$	$15.63 (\pm 8.07)$	0.424	$17.04 (\pm 9.15)$	$16.5 (\pm 8.72)$	0.565
MPT (sec)	$23.2 (\pm 8.6)$	$18.5 (\pm 5.5)$	0.030	$22.7 (\pm 8.89)$	$20.2 (\pm 7.0)$	<0.001
I M A lammagal	TTI vermie 4sem	MA - Janinasal mask simisay ETI - andotrashasal intribation VHI - Voice Handison Index SIS - Swallowing Impairmen	VHI Viet	oice Handican Index	V CIC Curallourier	Tmnairment

LMA – laryngeal mask airway, ETI – endotracheal intubation, VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, F₀ – mean fundamental frequency, NHR – Noise to Harmonics Ratio, VTI – Voice Turbulence Index, SPI – Soft Phonation Index, MPT – Maximum phonation time

Table 12. Comparison of changes from the baseline values

		MEN			WOMEN	
Variables	LMA group	ETI group	p value	LMA group	ETI group	p value
	mean (±SD)	mean (±SD)		mean (±SD)	mean (±SD)	
VHItotal	$1.5 (\pm 7.2)$	1.6 (±4.3)	0.926		$-1.0 (\pm 10.0)$	0.198
SIS	$-0.2 (\pm 1.6)$	$0.3 (\pm 2.6)$	0.202		$-0.8 (\pm 3.5)$	0.073
$F_0(Hz)$	$-3.5 (\pm 14.6)$	$+5.8 (\pm 10.3)$	0.538		$-7.39 (\pm 45.5)$	0.347
Jitter (%)	$0.01 (\pm 1.2)$	$-0.05 (\pm 0.38)$	0.821		$-0.12 (\pm 1.99)$	0.804
Shimmer (%)	$-0.15 (\pm 1.86)$	$0.01 (\pm 2.58)$	0.774		$-0.39 \; (\pm 6.35)$	0.640
NHR (dB)	$0.001 (\pm 0.05)$	$0.01 (\pm 0.03)$	0.471		$-0.003 (\pm 0.12)$	0.987
VTI	$0.003 (\pm 0.02)$	$0.004 (\pm 0.02)$	0.795	$-0.001 (\pm 0.02)$	$0.003 (\pm 0.03)$	0.774
SPI	$0.54 (\pm 7.66)$	$1.74 (\pm 8.74)$	0.576		$-2.08 (\pm 7.05)$	0.005
MPT (sec)	$2.6 (\pm 5.7)$	$7.7 (\pm 8.2)$	0.205		$0.4 (\pm 3.2)$	0.631

LMA – laryngeal mask airway, ETI – endotracheal intubation, VHI – Voice Handicap Index, SIS – Swallowing Impairment Score, F₀ – mean fundamental frequency, NHR – Noise to Harmonics Ratio, VTI – Voice Turbulence Index, SPI – Soft Phonation Index, MPT – Maximum phonation time

6. DISCUSSION

Thyroid disease and surgery related voice and swallowing disturbances have been widely investigated. Regarding the different evaluation methods, previous studies have given rather conflicting results. As recurrent laryngeal nerve lies in the close proximity of thyroid gland, contribution of other etiologic factors to these complaints still remains vague. Similarly, the role and duration of laryngeal nerve damage in post-thyroidectomy voice and swallowing changes require clarification.

6.1 Preoperative voice and swallowing function in thyroid patients (II)

In our study, thyroidectomy patients showed only mildly detectable voice changes both objectively and subjectively prior to operation. This finding can be explained by the fact that all our patients were preoperatively in a relative euthyroid state. Voice disorders associated with thyroid hormone imbalance are believed to alleviate with treatment within three to six months and all our patients had been medically treated prior to surgery (Kumar *et al.*, 2016).

We noted some trend for increased vocal handicap in the emotional subscale of VHI (VHIe), which could be explained by general discomfort and sensory abnormality in the laryngeal region caused by thyroid mass in these patients. Similarly, Viana Baptista *et al.* found that 95% of patients had VHI total scores indicative of only mild handicap and GRBAS-G scores either 0 or 1 in all studied patients (Viana Baptista *et al.*, 2020). We also found no correlation between weight of the thyroid gland postoperative histologic specimen and VHI scores, which further confirms the modest role of thyroid compression on voice quality. However, increased jitter and NHR in our study may indicate slight anatomical changes in the vocal folds.

On the other hand, we found more frequently increased impairment of swallowing function in our thyroidectomy patients, which is inconsistent with previous studies (Sorensen et al. 2018; Lombardi et al., 2006; Lombardi et al., 2009, Holler and Anderson, 2014). Previous research has shown that the main reasons for swallowing disturbances are esophageal compression and increased transit time, which are positively correlated with goiter size (Sorensen et al., 2018; Scerrino et al., 2013). In the present study, we found no correlation between thyroid weight and subjective swallowing impairment. An alternative explanation could be that swallowing disturbances and globus sensation are caused by the impaired innervation of UES. Compression on the nerves innervating the sphincter might result in reduced UES pressure already preoperatively, leading to predisposition to gastric or duodenal reflux. A study by Fiorentino et al. reported LPR signs in up to 88% of patients undergoing thyroid surgery for compressive signs (Fiorentino et al., 2011). However, previous

studies involving esophageal manometry have detected a decrease in UES only after thyroid surgery, but not prior to the operation (Sorensen *et al.*, 2018; Scerrino *et al.*, 2013).

Another explanation is that LPR, instead of thyroid compression, is accountable for swallowing impairment, which has previously been described by Holler and Anderson, as well as by Fiorentino et al. (Holler and Anderson, 2014; Fiorentino et al., 2011). However, the clinical findings (dependent on RFS scores) indicative of LPR in our study were similar in both groups. According to another theory, the symptoms of thyroid compression mimic the symptoms of LPR disease, which could lead to false positive results of the RSI questionnaire. Analysis of the RSI questionnaire detected higher values for questions addressing general neck discomfort symptoms but not for questions about reflux complaints (heartburn, chest pain, indigestion or stomach acid coming up). The suspicion arises, therefore, that the RSI's questions addressing cough irritation, swallowing difficulties, sensation of lump etc, could alternatively lead to increased scores due to the thyroid gland's compression on the surrounding tissues. However, since ≤50% of LPR patients are estimated to meet gastroesophageal reflux disease (GERD) criteria and complain about gaseous, upright and daytime reflux events rather than about heartburn, chest pain etc., this may not be the correct explanation (Jaspersen et al., 2003). The existence of a weak positive correlation between thyroid weight and RFS also supports the explanation that an enlarged thyroid decreases UES pressure and provides a basis for LPR.

An important limitation of our study is the lack of objective evaluation of LPR by means of MII-pH monitoring and UES manometry. LPR is a condition that has proven to be difficult to diagnose due to non-specific symptoms and findings. Currently, 24h multi-channel intraluminal impedance and pH (MII-pH) monitoring are considered to be the gold standard for LPR diagnosis. Due to the absence of specific instruments at our research hospital, it was not possible for us to use either MII-pH or esophageal manometry. In our study, we used the RSI questionnaire and RFS scores to identify local neck symptoms and clinical signs indicative of LPR. A study by Weitzendorfer *et al.* found that subjects with a pathological result in MII-pH showed significant correlation between values of salivary pepsin levels and measurement of RSI score. Additionally, in the same study, higher levels in salivary pepsin test were correlated with RFS score (Weitzendorfer *et al.*, 2020). Therefore, patient-reported outcome questionnaires have been advised to use as a diagnostic tool for LPR disease (Lechien *et al.*, 2020).

Previous research has shown that glottic insufficiency *per se* can lead to muscle tension resulting in globus sensation during swallowing. Consequently, RSI values can fluctuate in cases of glottic insufficiency regardless of the presence of LPR (Patel *et al.*, 2014). However, our study found no difference in MPT measurement between the study and control group, which could indicate glottic insufficiency in preoperative thyroid patients.

In addition to the subjective evaluation of swallowing, it would have also been useful to use instrumental assessment (functional endoscopic evaluation of swallowing or videofluoroscopy), to differentiate between functional and sensational swallowing disturbances. Therefore, further studies including objective evaluation of LPR and swallowing function are needed.

6.2 Voice and swallowing disorders after thyroid surgery (III)

Potential risk factors for postoperative nerve injury are older age, recurrent surgery, large thyroid mass, malignant thyroid disease, inexperienced surgeon etc (Choi et al., 2018; Banks et al., 2012, Bergenfelz et al., 2008). Our study identified large thyroid mass to be the risk factor for nerve injury following thyroid surgery. A large thyroid increases the surgical field and affects the overview of the surgical site, which in turn induces more extensive tissue damage.

In a systematic review by Jeannon *et al.* 9.8% cases of temporary paralysis and 2.3% cases of permanent paralysis were identified after thyroid surgery (Jeannon *et al.*, 2009). As different studies use a large variety of methods when diagnosing laryngeal nerve injury, the exact percentage is still unclear. Diagnostic methods vary to a large extent, from the rather unreliable subjective complaints or indirect laryngoscopy to direct laryngoscopy and EMG evaluation (Kim *et al.*, 2021). Due to the relatively high dropout rate, we could not identify precise incidence of postoperative vocal fold palsy in our study. In a majority of studies, recurrent laryngeal nerve injury is diagnosed based solely on vocal fold paralysis. In our study, we also included patients with an evident finding of vocal fold motion deficit defined as paresis, which can most likely explain the high incidence of nerve injury among our patients. A study by Dralle *et al.* comprising 16448 thyroidectomy cases found no statistical significance in RLN injury irrespective of IONM usage, therefore the fact that we did not use IONM should not have played a role in these high numbers (Dralle *et al.*, 2004).

In postoperative RLN paralysis, recovery may be anticipated at a rate ranging from 50% to 88% (Choi *et al.*, 2018; Wagner *et al.*, 2004; Echternach *et al.*, 2009). Dynamic evaluation of laryngeal anatomy within 12–18 months postoperatively revealed that in our study 70.4% of the laryngeal nerve injuries turned out to be temporary.

The specific clinical findings of vocal fold tonicity loss and shortening and sluggish motion, which we detected in a considerable number of patients (N14), have previously been described in the context of EBSLN injury. We identified superior laryngeal nerve injury, either uni- or bilateral, in 54% of these patients. As EMG was in most cases conducted on the day of the first-month post-operative follow-up visit, to avoid extra inconvenience for the patient, we might have expected some degree of misdiagnosis due to edema and damage to the surrounding tissues. In addition, it remains unclear how the glottal configuration

and function are affected in concurrent EBSLN and RLN paralysis. As the EMG evaluations with the same clinical finding yielded different results, we could not make any definitive conclusions about the etiopathogenesis of these changes. Orestes and Chettri have alternatively suggested that cricothyroid dysfunction following thyroid surgery can appear due to direct cricothyroid muscle injury and may occur more often than the injury of the EBSLN during isthmus, pyramidal lobe or delphian node dissection (Orestes et al., 2006). This supplementary injury could be one alternative explanation for the above contradictory results. Another suggestion might be postoperative hypothyroidisminduced vocal fold myxedema. Histological changes in the vocal folds can develop rapidly in the setting of hypothyroidism and can appear even as early as 36 to 48 hours postoperatively (Birkent et al., 2008). This theory is supported by the fact that all the above-mentioned patients in our study had undergone total thyroidectomy. However, previous studies involving postoperative laryngeal evaluation have not reported such findings of vocal fold myxedema, nor is this in accordance with our EMG results.

At the first week evaluation, patients with intact larvngeal nerves had no subjective decline in voice related quality of life. Kletzien et al. stated that traditional quantitative methods alone (VHI etc.), when tracking voice disturbances after thyroid surgery, may not capture the burden and dysphonia perceived by the patient adequately (Kletzient et al., 2018). Additional patientreported voice impairment described during interviews might reveal more complaints. However, for the group of patients with larvngeal nerve injury, we found a significant deterioration of both subjective and objective voice quality. Jacobson et al. considered a difference of 18 points or higher clinically significant for the total VHI score (Jacobson et al., 1997). We found a considerable increase of mean VHI total score for patients with nerve injury from baseline 10.5 to 45.6 (median from 7 to 38) at the first week evaluation, in contrast to a 2.1-point increase (no median rise) for the group of patients with intact nerves. This decline in subjective and objective voice quality is consistent with the insufficient glottal closure pattern, as was also the decline in maximum phonation time. Perceptual voice quality analysis additionally presented disturbances in all evaluated aspects of voice.

Furthermore, evaluation of postoperative swallowing function revealed remarkable disturbances in all postoperative patients regardless of the presence of nerve injury. Patients with postoperatively intact laryngeal nerves showed quick resolution of complaints and swallowing function had even improved in comparison to the pre-operative status by the end of the follow-up period. Similar postoperative improvement in swallowing function was described by Greenblatt and Sabaretnam (Greenblatt *et al.*, 2009; Sabaretnam *et al.*, 2012). This confirms that compression by an enlarged thyroid gland can affect swallowing function irrespective of surgical damage and should not be overlooked. Compression can impair UES relaxation and restricted laryngeal elevation affects swallowing irrespective of surgical damage. In contrast, for patients with nerve injury, the values of SIS score were increased during the whole follow-up

period, which indicates that after thyroid surgery both laryngeal nerve injury and compressive symptoms can affect swallowing function irrespective of each other or in combination.

When analyzing the differences between the two groups at different time points, to identify any possible prognostic factors for recovery, we had to admit that the results might have been affected by the relatively low number of patients with permanent laryngeal paralysis, which probably affected the reliability of some statistical analyses. However, although permanent and temporary palsy seem to be clinically similar conditions at the early stage, we detected remarkable differences between the two groups regarding the values of VHI total and physical domain scores, MPT, jitter and GRBAS scale during the follow-up period. Hence, possible indicators of persistent nerve injury can be a drastic decline in subjective and perceptual voice quality, significant increase in glottic insufficiency and delayed recovery of jitter values. Jitter is defined as a parameter of frequency variation and can be affected by the lack of control of the vocal fold vibration. Loss of tonicity and motion, evident in a number of patients with nerve injury in our study, can serve as a basis for increased jitter values. This could also explain why several previous studies have not reported changes in jitter values, as they have concentrated solely on patients with clinical paralysis of the vocal fold, whereas we included also cases of paresis.

Nevertheless, regardless of nerve injury, by the end of the follow-up period, all changes in the monitored parameters had recovered to preoperative or near-preoperative values. Development of efficient laryngeal compensatory mechanisms over time should be expected in a majority of patients with postoperative dysphonia and dysphagia. This data is of vital importance for patients whose quality of life has been affected by post-thyroidectomy nerve injury.

6.3 Endotracheal intubation as a possible etiologic factor for postoperative voice and swallowing disturbances (I)

To evaluate the effect of general anesthesia on laryngeal structures, we evaluated clinical picture, voice and swallowing quality of two groups of patients with no surgical interventions in the head and neck region – laparoscopic cholecystectomy with the ETI anesthesia and varicectomy or hernia repair with the LMA. Analysis revealed that although clinical signs indicated more serious trauma in the group of endotracheal intubation, objective measurements and patient subjective evaluation of voice and swallowing function were similar irrespective of the ventilation method used. Postoperative laryngeal injury may be caused either by direct intubation trauma (including hematoma, mucosal edema and dislocation or subluxation of the arytenoids) or by the operation itself when performed in the head and neck regions (Stojadinovic *et al.*, 2008; Sariego *et al.*, 2010). In our study pathogenesis was most probably related to the pressure and inflammation induced by the tube and the cuff. When pressure

from the unyielding walls of the tube exceeds capillary pressure in the laryngeal mucosa, mucosal ischemia causes irritation, inflammation, congestion, and edema already within the first few hours (Gaynor *et al.*, 1985).

Alternatively, postoperative decrease in voice and swallowing quality can be affected by anesthesia, physical pain or analgesia medications. In our study we found increased postoperative fundamental frequency and increased maximum phonation time in male patients with the use of both ventilation methods. This indicates it was caused by general anesthesia medications rather than by the ventilation tube itself. Increased fundamental frequency has previously been explained by lowered sensation of subglottic pressure in the anesthetized larynx, which leads to pressed phonation and a rise in fundamental frequency. Administered anesthetic agents can also interfere with fine neuromuscular control and lead to impairment in voice tonality by this origin (Karcz et al., 2013). Furthermore, inhalation of anesthetic gases or intake of drying medications may also lead to desiccation of the vocal fold mucosa, affecting thus the vocal signal. Similar results have been documented also previously by Zimmert et al., who found increased F₀ in both groups where the studied ventilation methods were employed (Zimmert et al., 2007). Likewise, the decreased MPT in our study can be secondary to anesthetic and analgetic management. The post-operative effect of barbiturates, opioids, and pain itself suppresses breathing muscle function and causes restricted or depressed ventilation, which leads to diminished phonation time (Fu et al., 2018). Our results are consistent with those of Hamdan et al. who also found decreased postoperative values of MPT irrespective of the ventilation method used (Hamdan et al., 2008).

The results of acoustic analysis in previous studies have revealed changes in perturbation parameters (shimmer and jitter) and NHR scores; however, none of these studies have documented changes in soft phonation index (SPI) parameter. We found a significant increase in postoperative SPI values in female patients. SPI can be thought of as an indicator of how completely or tightly the vocal folds adduct during phonation (Koreman et al., 1997; Mathew et al., 2009). Correlations have also been found between SPI and values of perceptual evaluation GRBAS scale Grade (G) and Breathiness (B) (Bhuta et al., 2004). Incomplete vocal fold adduction during phonation causes rapid air escape from the lungs, which can also lead to increased MPT values as discussed above. In this study, we found increased postoperative SPI values in female patients who were intubated with the endotracheal tube. Comparison of the results between the two anesthesia methods revealed statistically significant difference. The fact that SPI values were increased only in female patients in the ETI group indicates that it may have been caused by direct damage to the vocal cords. Female larynxes have been found to be more susceptible to mechanical trauma due to differences related to laryngeal physiology, anatomy, hormone differences and other non-laryngeal physiology and behavioral characteristics (Hunter et al., 2011). According to the developers of the MDVP software, psychological stress could also be a factor for increase in SPI. This also correlates with female gender, as findings suggest that women tend to report higher levels of anxiety,

which may contribute to their increased vulnerability to emotional stress and related disorders (Bangasser *et al.*, 2014; Kessler *et al.*, 1981).

Previous studies on swallowing function and dysphagia after general anesthesia have shown rather conflicting results. Dysphagia and odynophagia are generally associated with the trauma caused by high cuff pressure, which leads to edema, inflammation and impaired laryngeal motility. Several studies have associated laryngeal mask airway with higher incidence of dysphagia compared to endotracheal intubation (Rieger *et al.*, 1997; Venugopal *et al.*, 2016). Our study, however, found no disturbances in swallowing function after general anesthesia.

Consequently, both investigated ventilation methods can be regarded as practically equal. Although clinical signs showed more intense trauma in the ETI group, objective measurements and patient subjective evaluation of voice and swallowing function were similar in both groups.

CONCLUSIONS

- 1. Changes in the laryngeal area caused by thyroid disorders do not impact patient's voice related quality of life according to VHI, but do indicate a slight decline in objective voice quality, resulting in increased hoarseness. Thyroid disorders cause marked disturbances in swallowing quality. Higher RSI scores and positive correlation between RFS and thyroid weight indicate a possible role of the enlarged gland in the aggravation of LPR symptoms in thyroid patients. There is no correlation of voice and swallowing disturbances with thyroid pathology or weight, voice use or smoking status.
- 2. Patients with postoperatively intact laryngeal nerves show a mild decline in subjective evaluation of voice quality (increased perceptual strain in voice) and mild disturbances of voice related quality of life (VHI physical domain). Patients with postoperative laryngeal nerve injury experience substantial deterioration in objective voice quality and voice related quality of life. The characteristics most affected are VHI total score, jitter and MPT. More profound impairment is observed in the patient group with permanent paralysis. Thyroidectomy causes subjective swallowing changes in the early postoperative period, irrespective of laryngeal nerve injury. Large thyroid mass is a risk factor for postoperative laryngeal nerve injury. There is no correlation of older age, smoking status, thyroid disease, the extent of surgery or duration of anesthesia with increased risk of nerve injury.
- 3. Regardless of postoperative laryngeal nerve injury, most of the monitored parameters recovered to preoperative or near to preoperative values by the end of 12–18-month follow-up period. Patients with laryngeal nerve injury require longer time to recover preoperative function. In patients without laryngeal nerve injury, swallowing function improves following thyroid surgery. Possible indicators for permanent paralysis are delayed recovery in the values of MPT and jitter plus persistent perceptual breathiness and asthenia in voice.
- 4. We found no substantial role of general anesthesia on postoperative voice and swallowing disturbances within one week of surgery.

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SUMMARY IN ESTONIAN

Kilpnäärmehaiguste ja kirurgilise ravi mõju hääle- ja neelamiskvaliteedile

Sissejuhatus

Kilpnäärme talitlushäired on ühed sagedasemad endokriinhaigused, mis vajavad kirurgilist sekkumist. Hääle- ja neelamishäired on laialt levinud tüsistused, mida võib seostada nii kilpnäärme haiguste enda kui ka nende operatiivse raviga. Kirjanduse andmetel kaebab operatsioonijärgselt ajutist häälekvaliteedi langust 38 – 87% patsientidest ning püsivaid muutuseid 13 – 35% (Soylu *et al.*, 2007; Henry *et al.*, 2010; Lombardi *et al.*, 2009; Sinagra *et al.*, 2004). Neelamishäireid esineb vahetul postoperatiivsel perioodil kuni 80% ning aasta pärast võib sama kaebus püsida kuni ühel viiendikul patsientidest ((Lombardi *et al.*, 2009; Krekeler *et al.*, 2018; Martins *et al.*, 2020).

Seoses kilpnäärme paiknemisega *n. vagus*'e tagasikulgeva haru *n. laryngeus recurrens*'i vahetus läheduses, seostatakse antud sümptomaatikat enamasti närvikahjustusest tingitud muutustega. Samal ajal on varasemate uuringute kohaselt postoperatiivse *n. laryngeus recurrens*'i kahjustuse sagedus väga varieeruv – ajutist närvikahjustust võib esineda 1,4 – 38,4% ja püsivat 0 – 18,6% juhtudel (Rosato *et al.*, 2004; Bergenfelz *et al.*, 2008; Jeannon *et al.*, 2009).

Teisteks võimalikeks põhjusteks võivad olla *n. laryngeus superior*'i vigastus, kõri ümbritsevate lihaste posttraumaatiline düsfunktsioon, pehmete kudede turse või hematoom, infektsioon, väljendunud valusündroom vms (Sinagra *et al.*, 2004; Stojadinovic *et al.*, 2008; Dursun *et al.* 1996). Varase postoperatiivse perioodi hääle- ja neelamishäirete üheks võimalikuks põhjuseks võib olla ka intubatsioonist tingitud otsene kõri kahjustus. On leitud, et endotrahheaalse intubatsiooni tagajärjel esineb lühiaegseid häälehäireid kuni 69% patsientidest (Brodsky *et al.*, 2021; Mendels *et al.*, 2012) ja neelamishäireid kuni 43% (Rieger *et al.*, 1997; Brodsky *et al.*, 2021).

Samas on leitud, et kuni 76% patsientidest on juba kilpnäärme operatsiooni eelselt probleeme häälekvaliteedi ja neelamisega (Fiorentino *et al.*, 2011; Mclvor *et al.*, 2000; Viana Baptista *et al.*, 2020). Sümptomaatika võib sageli olla tagasihoidlik, kuna enamasti on tegemist aeglaselt progresseeruva protsessiga. Osadel juhtudel võib põhjuseks pidada kilpnäärme ala- või ületalitlust, valdavalt aga peetakse sellistel puhkudel põhjuseks siiski suurenenud kilpnäärme poolt esile kutsutud ümbritsevate kudede kompressiooni. Kompressioonisümptomaatikale väga sarnast kliinilist leidu, nagu tükitunne, neelamistakistus ja -valulikkus ning häälehäired, võib sageli anda ka kõri-neelu reflukshaigus. Lisaks on viimaste uuringute kohaselt jäänud kahtlus, et suurenenud kilpnääre võib olla kõri-neelu reflukshaiguse ägenemise üheks põhjuseks (Fiorentino *et al.*, 2011; Holler ja Anderson, 2014).

Kokkuvõtvalt võib öelda, et kilpnäärme patsientide hääle- ja neelamishäirete tekkepõhjused on äärmiselt mitmekesised ja nende väljakujunemises võivad olulist rolli mängida nii operatsioonieelsed kui -järgsed tegurid. Sealjuures on mitmed olulised aspektid hääle- ja neelamishäirete tekkepõhjustes ja kestuses seni teadmata või ebaselged.

Töö eesmärgid

Doktoritöö üldine eesmärk oli hinnata kilpnäärmeoperatsiooni eelset ja järgset hääle- ja neelamiskvaliteeti, dünaamilisi muutuseid postoperatiivses perioodis ning leida ajutisele ja püsivale häälepaela halvatusele viitavaid tunnuseid.

Eesmärgi saavutamiseks seati tööle neli spetsiifilisemat ülesannet:

- hinnata hääle- ja neelamiskvaliteeti enne kilpnäärme operatsiooni, häälega seotud mõju elukvaliteedile ja teha kindlaks võimalikud etioloogilised faktorid:
- hinnata hääle- ja neelamiskvaliteeti peale kilpnäärme operatsiooni, häälega seotud mõju elukvaliteedile ja teha kindlaks võimalikud etioloogilised faktorid;
- 3) hinnata dünaamilisi muutusi hääle ja neelamiskvaliteedis postoperatiivses perioodis 12–18 kuu jooksul ning leida ajutisele või püsivale häälepaela halvatusele viitavaid tunnuseid:
- 4) hinnata üldanesteesia võimalikku rolli kilpnäärme operatsiooni järgsete hääle- ja neelamishäirete tekkepõhjusena.

Uuritavad ja meetodid

Uuringugrupi moodustasid 118 kilpnäärme osalise või totaalse resektsiooni patsienti vanuses 18–83 aastat. Kontrollgrupi moodustasid 110 laparoskoopilise sapipõie eemaldamise patsienti, kelle operatsioon toimus endotrahheaalses intubatsioon-anesteesias vanuses 23-82 aastat ning 103 alajäsemete vaariksite operatsiooni patsienti, kelle operatsioon toimus kõrimask-anesteesias vanuses 22–82 aastat. Kõik patsiendid hospitaliseeriti SA TÜK Kirurgiakliinikusse vahemikus september 2013 kuni detsember 2016. Uuringusse ei kaasatud alla 18 aasta vanuseid patsiente ega eelneva häälepaelte orgaanilise häirega (ka häälepaela halvatus) patsiente. Kilpnäärme patsiendid olid preoperatiivselt eutüreoidses seisundis. Kontrollgrupi patsientidel välistati kaasuv kilpnäärme haigus intervjuu käigus. Kõik uuritavad ja kontrollgruppi kuuluvad patsiendid suunati preoperatiivsele ja esimesel postoperatiivsel nädalal toimuvale kõrvanina-kurguarsti vastuvõtule. Kõri piirkonna kahjustuse korral viidi läbi täiendavad vastuvõtud 1., 6. ja 12.–18. kuul peale operatsiooni kuni kliinilise paranemiseni. Kilpnäärme grupi patsientidel viidi kõigil läbi 12.–18. kuu vastuvõtt sõltumata kõri piirkonna kahjustusest.

Vastuvõttude ajal täitsid patsiendid ankeedid häälega seotud elukvaliteedi (Voice Handicap Index VHI), neelamisfunktsiooni (Swallowing Impairment

Score SIS) ja kõri-neelu reflukshaiguse hindamiseks (Reflux Symptom Index RSI) ning teostati otorinolarüngoloogiline läbivaatus. Viimase käigus hinnati fiiberlarüngoskoopia ja videostroboskoopia abil neelu ja kõri struktuure ja funktsiooni. Lisaks viidi läbi hääle akustiline analüüs (Multi-Dimensional Voice Program MDVP), mõõdeti maksimaalne foneerimise aeg ja teostati hääle pertseptiivne hindamine GRBAS skaalal. Fiiberlarüngoskoopia videosalvestisi hinnati kõri-neelu reflukshaiguse tunnuste osas (Reflux Finding Score RFS).

Lisaks dokumenteeriti kõigi patsientide demograafilised andmed: kaal, suitsetamine, häälekasutamise koormus, anesteesia meetod, intubatsiooni kestus, intubatsioonitoru ja kõrimaski suurus, intubatsioonitoru ja kõrimaski mansetirõhk, intubatsioonitoru sisestamise katsete arv, kilpnäärme patsientide kilpnäärme histoloogilise preparaadi kaal, histoloogiline diagnoos ja lõikuse ulatus.

Patsientidel, kellel jäi kliiniliselt kahtlus kõrinärvide kahjustusele, teostati neli nädalat peale operatsiooni kõri piirkonna elektromüograafia. Uuringus osalemine oli kõigile patsientidele vabatahtlik ja enne andmete kogumist täitsid kõik patsiendid kirjalikult informeeritud nõusoleku vormi. Uuringul oli Tartu Ülikooli inimuuringute eetikakomitee nõusolek (luba nr. 212/T-7). Statistiliseks analüüsiks kasutati tarkvaraprogramme TIBCO StatisticaTM (versioon 10.0) ja R (R Core Team, 2019). Kasutati t-testi, Mann–Whitney U testi, Wilcoxoni märgitesti, χ^2 , Fisheri täpset testi, Spearmani korrelatsiooni kordajat, šansside suhet ja Cohen'i kappat. Statistiliselt oluliseks loeti P väärtust alla 0,05.

Tulemused

Preoperatiivses häälekvaliteedis ei esinenud kilpnäärme patsientide ja kontrollgrupi vahel statistiliselt olulist erinevust ei subjektiivse hinnangu ega objektiivse instrumentaalse hääleanalüüsi põhjal. Kõige märkimisväärsem erinevus ilmnes VHI emotsionaalse hinnangu skaalal, aga ka see osutus statistiliselt mitteoluliseks (p=0,074). Hääleanalüüs paljastas siiski kõrgemad väärtused kilpnäärme patsientide hääle sagedushälbes (jitter) ja hääle käheduse indeksis (NHR), mis võivad viidata anatoomilistele muutustele häälepaeltes. Lisaks ei toonud ka spetsialisti pertseptiivne hinnang häälekvaliteedile GRBAS skaalal kahe grupi vahel erinevust välja.

Subjektiivne neelamiskvaliteedi küsimustik (SIS) paljastas postoperatiivselt statistiliselt olulise neelamiskvaliteedi languse kilpnäärme patsientide seas (p=0,006). 73,3% patsientidest kaebas vähemalt mõnel korral neelamishäirete esinemist, võrreldes 57,3% kontrollgrupi patsientidega. Kilpnäärme kaalu ja neelamishäirete vaheline seos käesolevas uuringus kinnitust ei leidnud (ρ =-0,082). Subjektiivsete refluksikaebuste hinnangulehe (RSI) kohaselt esines kilpnäärme patsientide seas oluliselt kõrgemaid väärtusi kui kontrollgrupil (p=0,001). 31% kilpnäärme patsientide ankeetide tulemused ületasid normi piirid, võrreldes 19% kontrollgrupis. Endoskoopilise uuringu põhjal hinnatud kliinilise leiu alusel (RFS) sealjuures kahe grupi vahel olulist erinevust ei ilmnenud.

Uurides seoseid RSI ja SIS vahel kilpnäärme patsientide grupis, leidsime me tugeva positiivse korrelatsiooni ((ρ =0,641). Lisaks leidsime nõrga positiivse korrelatsiooni kilpnäärme kaalu ja RFS vahel (ρ =0,379). Kõrgemad väärtused refluksi kaebuste ankeetide tulemustes ja positiivne seos refluksile viitava kliinilise leiu ja kilpnäärme kaalu vahel võivad viidata kilpnäärme rollile kõrineelu refluksi kaebuste ägenemises.

Kilpnäärme operatsiooni järgselt esines kõrinärvi kahjustusega patsientidel oluliselt väljendunum häälekvaliteedi langus nii subjektiivsete kui objektiivsete hinnangute põhjal. Kõige enam olid haaratud VHI, sagedushälve (jitter) ja maksimaalne foneerimise aeg. Enam väljendunud kaebused esinesid püsiva häälepaela halvatusega patsientidel. Lisaks leidsime, et kilpnäärme operatsiooni järgselt esineb neelamiskvaliteedi langus nii närvikahjustusega (p=0,001) kui -kahjustuseta (p=0,014) patsientidel. Sealjuures võtab närvikahjustusega patsientidel paranemine preoperatiivsele tasemele kauem aega. Vaatamata sellele, sõltumata närvikahjustuse puudumisest või olemasolust, taastus jälgimisaja lõpuks valdav enamus mõõdetud parameetritest preoperatiivsele tasemele. Postoperatiivse närvikahjustuseta patsientide seas täheldasime jälgimisaja lõpuks neelamiskvaliteedis isegi statistiliselt olulist paranemist võrreldes preoperatiivse tasemega (p=0,008). Võimalikud indikaatorid, mis viitavad püsivale häälepaela halvatusele, on maksimaalse foneerimise aja ja sagedushälbe väärtuste aeglasem taastumine ning püsiv pertseptiivne kahin ja jõuetus hääles.

Võrreldes intubatsioonanesteesia ja kõrimasknarkoosi mõju kõri piirkonna anatoomiale, hääle- ja neelamiskvaliteedile järeldasime, et esimese nädala jooksul peale operatiivset ravi võib mõlemad anesteesia meetodid lugeda võrdväärseteks. Kuigi kliiniline pilt viitas küll intubatsioonitoru puhul suuremale kõri piirkonna vigastuse võimalusele, siis objektiivsed ega subjektiivsed hääleja neelamiskvaliteedi mõõtmisvahendid kahe grupi vahel erinevusi välja ei toonud.

Uurimistöö järeldused

- 1. Kilpnäärme haigustest tingitud muutused kõri piirkonnas ei mõjuta oluliselt patsiendi häälega seotud elukvaliteeti, aga esinevad viited suurenenud kähedusele hääles. Kilpnäärme haigused põhjustavad olulisi muutusi neelamiskvaliteedis. Kõrgemad väärtused kõri-neelu reflukshaiguse hinnangulehtedel ja positiivne seos refluksile viitava kliinilise leiu ja kilpnäärme kaalu vahel viitavad suurenenud kilpnäärme võimalikule rollile kõri-neelu reflukshaiguse ägenemises. Seost hääle- ja neelamishäirete ning suurenenud kilpnäärme kaalu, kilpnäärme haiguse olemuse, suitsetamise või häälekasutuse osas ei ilmnenud.
- 2. Kõrinärvide kahjustusega patsientidel esineb postoperatiivselt oluline häälekvaliteedi ja häälega seotud elukvaliteedi langus. Enam haaratud parameetrid on VHI koguskoor, sagedushälve (jitter) ja maksimaalne foneerimise aeg. Enam väljendunud on muutused püsiva häälepaela halvatusega patsientidel. Ka ilma kõrinärvi kahjustuseta patsientidel võib operatsioonijärgselt

- täheldada häälega seotud elukvaliteedi langust ja hääles enam pertseptiivset pinget. Neelamiskvaliteedi langus esineb postoperatiivselt nii närvikahjustusega kui -kahjustuseta patsientidel. Operatsioonijärgse kõrinärvi kahjustuse riskifaktoriks on suur kilpnäärme mass. Seost vanuse, suitsetamisharjumuste, kilpnäärme haiguse olemuse, anesteesia kestuse ja operatsioonijärgse närvivigastuse vahel ei ilmnenud.
- 3. Sõltumata postoperatiivsest kõrinärvi vigastuse olemasolust paranes jälgimisaja lõpuks mõlema grupi patsientidel enamik hinnatud parameetritest preoperatiivsele tasemele. Püsiva närvikahjustusega patsientidel kulus paranemiseks kauem aega. Kõrinärvide vigastuseta patsientide neelamisfunktsioon paranes võrreldes operatsioonieelse hinnanguga. Püsiva häälepaela halvatuse võimalikud indikaatorid on maksimaalse foneerimise aja ja sagedushälbe väärtuste aeglasem taastumine ning püsiv pertseptiivne kahin ja jõuetus hääles.
- 4. Nädal peale lõikust ei ole üldanesteesial postoperatiivsete hääle- ja neelamishäirete tekkes olulist rolli.

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- 2. **Mihkel Zilmer**. Na-pump in normal and tumorous brain tissues: Structural, functional and tumorigenesis aspects. Tartu, 1991.
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