

Enlargements of rings

Kristo Väljako

(Joint work with prof. Valdis Laan)

Universitas Tartuensis
Institute of Mathematics and Statistics
Tartu, Estonia

July 21, 2021
Graz, Austria



European Union
European Regional
Development Fund



Investing
in your future



- 1 Introduction
- 2 Properties of enlargements
- 3 Examples of enlargements
- 4 Enlargements and Morita equivalence
- 5 Additional research problems
- 6 References

I will consider associative not necessarily unital rings.

Definition

A set R is called a **ring**, if it is equipped with two binary operations, addition $+$: $R \times R \rightarrow R$ and multiplication \cdot : $R \times R \rightarrow R$, such that the following properties hold:

- 1 $(R, +)$ is an abelian group;
- 2 (R, \cdot) is a semigroup,
- 3 $\forall r, s, t \in R: (r + s)t = rt + st \quad \& \quad r(s + t) = rs + rt.$



Definition

A ring R is called **idempotent** if $RR = R$, where

$$RR = \left\{ \sum_{k=1}^{k^*} r_k r'_k \mid r_1, r'_1, \dots, r_{k^*}, r'_{k^*} \in R \right\}.$$



Definition

A ring R is called **idempotent** if $RR = R$, where

$$RR = \left\{ \sum_{k=1}^{k^*} r_k r'_k \mid r_1, r'_1, \dots, r_{k^*}, r'_{k^*} \in R \right\}.$$

The inclusion $RR \subseteq R$ is always satisfied.

Definition

A ring R is called **idempotent** if $RR = R$, where

$$RR = \left\{ \sum_{k=1}^{k^*} r_k r'_k \mid r_1, r'_1, \dots, r_{k^*}, r'_{k^*} \in R \right\}.$$

The inclusion $RR \subseteq R$ is always satisfied.

A ring R is idempotent iff for every $r \in R$ there exist elements $r_1, r'_1, \dots, r_{k^*}, r'_{k^*} \in R$ such that

$$r = \sum_{k=1}^{k^*} r_k r'_k.$$

Example (idempotent ring 1):

Let X be a set. Denote $\mathcal{P}_{\text{fin}}(X)$ as the set of all finite subsets of X .
 $(\mathcal{P}_{\text{fin}}(X); \Delta, \cap)$ is an idempotent ring, which does not have an identity element, because

$$\forall A \in \mathcal{P}_{\text{fin}}(X): \quad A \cap A = A.$$

(Δ – symmetric difference)



Example (idempotent ring 1):

Let X be a set. Denote $\mathcal{P}_{\text{fin}}(X)$ as the set of all finite subsets of X . $(\mathcal{P}_{\text{fin}}(X); \Delta, \cap)$ is an idempotent ring, which does not have an identity element, because

$$\forall A \in \mathcal{P}_{\text{fin}}(X): \quad A \cap A = A.$$

(Δ – symmetric difference)

**Example** (idempotent ring 2):

Consider the direct sum $\bigoplus_{k=1}^{\infty} \mathbb{Z}_n$. There is no unit-element in this ring, but it is idempotent, because every element can be written as a sum of idempotents.

Example (idempotent ring 1):

Let X be a set. Denote $\mathcal{P}_{\text{fin}}(X)$ as the set of all finite subsets of X . $(\mathcal{P}_{\text{fin}}(X); \Delta, \cap)$ is an idempotent ring, which does not have an identity element, because

$$\forall A \in \mathcal{P}_{\text{fin}}(X): \quad A \cap A = A.$$

$(\Delta - \text{symmetric difference})$



Example (idempotent ring 2):

Consider the direct sum $\bigoplus_{k=1}^{\infty} \mathbb{Z}_n$. There is no unit-element in this ring, but it is idempotent, because every element can be written as a sum of idempotents. E.g.

$$\begin{aligned} (\bar{0}, \bar{3}, \bar{2}, \bar{0}, \bar{0}, \dots) &= (\bar{0}, \bar{3}, \bar{0}, \bar{0}, \dots) + (\bar{0}, \bar{0}, \bar{2}, \bar{0}, \bar{0}, \dots) = \\ &= (\bar{0}, \bar{1}, \bar{0}, \bar{0}, \dots) + (\bar{0}, \bar{1}, \bar{0}, \bar{0}, \dots) + (\bar{0}, \bar{1}, \bar{0}, \bar{0}, \dots) + \\ &\quad + (\bar{0}, \bar{0}, \bar{1}, \bar{0}, \bar{0}, \dots) + (\bar{0}, \bar{0}, \bar{1}, \bar{0}, \bar{0}, \dots). \end{aligned}$$



Definition

We call a ring R an **enlargement** of its subring S if the conditions $R = RSR$ and $S = SRS$ hold, where

$$RSR = \left\{ \sum_{k=1}^{k^*} r_k s_k r'_k \mid k^* \in \mathbb{N}, r_1, r'_1, \dots, r_{k^*}, r'_{k^*} \in R, s_1, \dots, s_{k^*} \in S \right\},$$

$$SRS = \left\{ \sum_{k=1}^{k^*} s_k r_k s'_k \mid k^* \in \mathbb{N}, s_1, s'_1, \dots, s_{k^*}, s'_{k^*} \in S, r_1, \dots, r_{k^*} \in R \right\}.$$

We also say that R is an **enlargement** of all rings isomorphic to such S .

Denote $S \sqsubseteq R$, if R is an enlargement of S .



Proposition

If $S \subseteq R$ then the following assertions hold:

- ① *R is idempotent;*



Proposition

If $S \subseteq R$ then the following assertions hold:

- ① *R is idempotent;*
- ② *if R is commutative then $R = S$;*



Proposition

If $S \subseteq R$ then the following assertions hold:

- ① *R is idempotent;*
- ② *if R is commutative then $R = S$;*
- ③ *if S is an ideal of R then $R = S$;*

Proposition

If $S \subseteq R$ then the following assertions hold:

- ① *R is idempotent;*
- ② *if R is commutative then $R = S$;*
- ③ *if S is an ideal of R then $R = S$;*
- ④ *if $S = \{0\}$ then $R = \{0\}$.*

Proposition

If $S \subseteq R$ then the following assertions hold:

- ① R is idempotent;
- ② if R is commutative then $R = S$;
- ③ if S is an ideal of R then $R = S$;
- ④ if $S = \{0\}$ then $R = \{0\}$.

Idempotency of R :

$$R = RSR = R(SR) \subseteq RR \subseteq R \implies RR = R.$$

Proposition

If $S \sqsubseteq R$ then the following assertions hold:

- ① R is idempotent;
- ② if R is commutative then $R = S$;
- ③ if S is an ideal of R then $R = S$;
- ④ if $S = \{0\}$ then $R = \{0\}$.

Idempotency of R :

$$R = RSR = R(SR) \subseteq RR \subseteq R \implies RR = R.$$

Relation \sqsubseteq is a (partial) order relation on the class of idempotent rings.

Example (enlargement 1):

A ring of square matrices $\text{Mat}_n(S)$ over an idempotent ring S . Then

$$S \sqsubseteq \text{Mat}_n(S).$$

Example (enlargement 1):

A ring of square matrices $\text{Mat}_n(S)$ over an idempotent ring S . Then

$$S \subseteq \text{Mat}_n(S).$$

Denote $S' := \{A_{11}(s) \mid s \in S\}$, where $A_{hk}(s)$ is an $n \times n$ -matrix with entry s at the intersection of h -th row and k -th column, and zeroes elsewhere.

$$S \cong S' \subseteq \text{Mat}_n(S).$$

Ring $\text{Mat}_n(S)$ can be expressed as

$$R := \text{Mat}_n(S) = \sum \{A_{hk}(s) \mid h, k \in \{1, \dots, n\}, s \in S\}.$$

Ring $\text{Mat}_n(S)$ can be expressed as

$$R := \text{Mat}_n(S) = \sum \{A_{hk}(s) \mid h, k \in \{1, \dots, n\}, s \in S\}.$$

Therefore we need to show that $A_{hk}(s) \in (\text{Mat}_n(S))S'(\text{Mat}_n(S))$.

Ring $\text{Mat}_n(S)$ can be expressed as

$$R := \text{Mat}_n(S) = \sum \{A_{hk}(s) \mid h, k \in \{1, \dots, n\}, s \in S\}.$$

Therefore we need to show that $A_{hk}(s) \in (\text{Mat}_n(S))S'(\text{Mat}_n(S))$. Let $s = \sum_{t=1}^{t^*} u_t s_t v_t$, then

$$A_{hk}(s) = \sum_{t=1}^{t^*} A_{hk}(u_t s_t v_t) = \sum_{t=1}^{t^*} A_{h1}(u_t) \cdot A_{11}(s_t) \cdot A_{1k}(v_t) \in RS'R.$$

Ring $\text{Mat}_n(S)$ can be expressed as

$$R := \text{Mat}_n(S) = \sum \{A_{hk}(s) \mid h, k \in \{1, \dots, n\}, s \in S\}.$$

Therefore we need to show that $A_{hk}(s) \in (\text{Mat}_n(S))S'(\text{Mat}_n(S))$. Let $s = \sum_{t=1}^{t^*} u_t s_t v_t$, then

$$A_{hk}(s) = \sum_{t=1}^{t^*} A_{hk}(u_t s_t v_t) = \sum_{t=1}^{t^*} A_{h1}(u_t) \cdot A_{11}(s_t) \cdot A_{1k}(v_t) \in RS'R.$$

Also,

$$A_{11}(s) \cdot A \cdot A_{11}(s') = A_{11}(s a_{11} s') \in S' \implies S'RS' \subseteq S'.$$

where $s, s' \in S$ and matrix $A = [a_{hk}] \in R$.

Ring $\text{Mat}_n(S)$ can be expressed as

$$R := \text{Mat}_n(S) = \sum \{A_{hk}(s) \mid h, k \in \{1, \dots, n\}, s \in S\}.$$

Therefore we need to show that $A_{hk}(s) \in (\text{Mat}_n(S))S'(\text{Mat}_n(S))$. Let $s = \sum_{t=1}^{t^*} u_t s_t v_t$, then

$$A_{hk}(s) = \sum_{t=1}^{t^*} A_{hk}(u_t s_t v_t) = \sum_{t=1}^{t^*} A_{h1}(u_t) \cdot A_{11}(s_t) \cdot A_{1k}(v_t) \in RS'R.$$

Also,

$$A_{11}(s) \cdot A \cdot A_{11}(s') = A_{11}(s a_{11} s') \in S' \implies S'RS' \subseteq S'.$$

where $s, s' \in S$ and matrix $A = [a_{hk}] \in R$.

$\therefore S \cong S' \subseteq \text{Mat}_n(S) =: R$

□

Definition

A **Rees matrix ring** over a ring S is the set $\mathcal{M}(S; U, V; X)$ of all $U \times V$ -matrices (U and V are nonempty sets) over S having a finite number of nonzero entries, endowed with the usual addition of matrices and with the multiplication \circ defined by

$$A \circ B = AXB,$$

where X is a $V \times U$ -matrix over S (that is, a mapping $V \times U \rightarrow S$) and AXB is the usual matrix product.

Definition

A **Rees matrix ring over a ring S** is the set $\mathcal{M}(S; U, V; X)$ of all $U \times V$ -matrices (U and V are nonempty sets) over S having a finite number of nonzero entries, endowed with the usual addition of matrices and with the multiplication \circ defined by

$$A \circ B = AXB,$$

where X is a $V \times U$ -matrix over S (that is, a mapping $V \times U \rightarrow S$) and AXB is the usual matrix product.

We call a Rees matrix ring $\mathcal{M}(S; U, V; X)$ **unital** if S is a ring with identity 1 and 1 is an entry of X .

Example (enlargement 2):

Let S be a ring with identity 1 and $\mathcal{M} = \mathcal{M}(S; U, V; X)$ a unital Rees matrix ring such that $X(v_0, u_0) = 1$. Then

$$S \sqsubseteq \mathcal{M}(S; U, V; X). \quad (1)$$

Example (enlargement 2):

Let S be a ring with identity 1 and $\mathcal{M} = \mathcal{M}(S; U, V; X)$ a unital Rees matrix ring such that $X(v_0, u_0) = 1$. Then

$$S \sqsubseteq \mathcal{M}(S; U, V; X). \quad (1)$$

Denote $S' := \{A_{u_0v_0}(s) \mid s \in S\}$, where $A_{u_0v_0}(s) \in \mathcal{M}$ is such a Rees matrix that $A_{u_0v_0}(s)(u_0, v_0) = s$ and $A_{u_0v_0}(s)(u, v) = 0$ for all other pairs $(u, v) \in U \times V$. Clearly $S \cong S' \in \mathcal{M}$.

Example (enlargement 2):

Let S be a ring with identity 1 and $\mathcal{M} = \mathcal{M}(S; U, V; X)$ a unital Rees matrix ring such that $X(v_0, u_0) = 1$. Then

$$S \sqsubseteq \mathcal{M}(S; U, V; X). \quad (1)$$

Denote $S' := \{A_{u_0v_0}(s) \mid s \in S\}$, where $A_{u_0v_0}(s) \in \mathcal{M}$ is such a Rees matrix that $A_{u_0v_0}(s)(u_0, v_0) = s$ and $A_{u_0v_0}(s)(u, v) = 0$ for all other pairs $(u, v) \in U \times V$. Clearly $S \cong S' \in \mathcal{M}$.

The ring S' is idempotent because for every $s \in S$, we have

$$A_{u_0v_0}(s) = A_{u_0v_0}(s)X A_{u_0v_0}(1) = A_{u_0v_0}(s) \circ A_{u_0v_0}(1) \in S' \circ S'.$$

Example (enlargement 2):

Let S be a ring with identity 1 and $\mathcal{M} = \mathcal{M}(S; U, V; X)$ a unital Rees matrix ring such that $X(v_0, u_0) = 1$. Then

$$S \sqsubseteq \mathcal{M}(S; U, V; X). \quad (1)$$

Denote $S' := \{A_{u_0v_0}(s) \mid s \in S\}$, where $A_{u_0v_0}(s) \in \mathcal{M}$ is such a Rees matrix that $A_{u_0v_0}(s)(u_0, v_0) = s$ and $A_{u_0v_0}(s)(u, v) = 0$ for all other pairs $(u, v) \in U \times V$. Clearly $S \cong S' \in \mathcal{M}$.

The ring S' is idempotent because for every $s \in S$, we have

$$A_{u_0v_0}(s) = A_{u_0v_0}(s)X A_{u_0v_0}(1) = A_{u_0v_0}(s) \circ A_{u_0v_0}(1) \in S' \circ S'.$$

Also the equalities $S' \circ \mathcal{M} \circ S' = S'$ and $\mathcal{M} \circ S' \circ \mathcal{M} = \mathcal{M}$ hold. \square

Definition

A six-tuple $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$, where R and S are rings and ${}_R P_S$, ${}_S Q_R$ are bimodules, is called a **Morita context**, if

$$\theta: {}_R(P \otimes_S Q)_R \rightarrow {}_R R_R, \quad \phi: {}_S(Q \otimes_R P)_S \rightarrow {}_S S_S$$

are bimodule homomorphisms

Definition

A six-tuple $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$, where R and S are rings and ${}_R P_S$, ${}_S Q_R$ are bimodules, is called a **Morita context**, if

$$\theta: {}_R(P \otimes_S Q)_R \rightarrow {}_R R_R, \quad \phi: {}_S(Q \otimes_R P)_S \rightarrow {}_S S_S$$

are bimodule homomorphisms such that

$$\begin{aligned}\theta(p \otimes q)p' &= p\phi(q \otimes p'), \\ q\theta(p \otimes q') &= \phi(q \otimes p)q'\end{aligned}$$

for every $p, p' \in P$ and $q, q' \in Q$

Definition

A six-tuple $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$, where R and S are rings and ${}_R P_S, {}_S Q_R$ are bimodules, is called a **Morita context**, if

$$\theta: {}_R(P \otimes_S Q)_R \rightarrow {}_R R_R, \quad \phi: {}_S(Q \otimes_R P)_S \rightarrow {}_S S_S$$

are bimodule homomorphisms such that

$$\begin{aligned}\theta(p \otimes q)p' &= p\phi(q \otimes p'), \\ q\theta(p \otimes q') &= \phi(q \otimes p)q'\end{aligned}$$

for every $p, p' \in P$ and $q, q' \in Q$

We say that a Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$ is **unitary**, if the bimodules ${}_R P_S$ and ${}_S Q_R$ are unitary (i.e. $P = RPS$ and $Q = SQR$); and **surjective**, if the homomorphisms θ and ϕ are surjective.

For the purposes of this presentation, we say that two idempotent rings R and S are **Morita equivalent**, if they are connected by a unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$.

For the purposes of this presentation, we say that two idempotent rings R and S are **Morita equivalent**, if they are connected by a unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$.

Proposition

If two rings R and S are connected by an unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$ then they are idempotent.

For the purposes of this presentation, we say that two idempotent rings R and S are **Morita equivalent**, if they are connected by a unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$.

Proposition

If two rings R and S are connected by an unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$ then they are idempotent.

Every $r \in R$ can be expressed as

$$\begin{aligned}
 r &= \theta \left(\sum_{h=1}^{h^*} p_h \otimes q_h \right) = \sum_{h=1}^{h^*} \theta(p_h \otimes q_h) = \sum_{h=1}^{h^*} \theta \left(\sum_{k=1}^{k^*} r_{hk} p_{hk} \otimes q_h \right) = \\
 &= \sum_{h=1}^{h^*} \sum_{k=1}^{k^*} r_{hk} \theta(p_{hk} \otimes q_h) \in RR.
 \end{aligned}$$



European Union
European Regional



Investing
in your future

Theorem

If R is an enlargement of an idempotent ring S then R and S are Morita equivalent.

Theorem

If R is an enlargement of an idempotent ring S then R and S are Morita equivalent.

This Morita equivalence is established by the Morita context

$$(R, S, RS, SR, \theta, \phi),$$

where

$$\begin{aligned} \theta: RS \otimes_S SR &\rightarrow R, & \sum_{k=1}^{k^*} r_k s_k \otimes s'_k r'_k &\mapsto \sum_{k=1}^{k^*} r_k s_k s'_k r'_k, \\ \phi: SR \otimes_R RS &\rightarrow S, & \sum_{k=1}^{k^*} s_k r_k \otimes r'_k s'_k &\mapsto \sum_{k=1}^{k^*} s_k r_k r'_k s'_k \end{aligned}$$



Corollary

A full matrix ring $\text{Mat}_n(S)$ over an idempotent ring S is Morita equivalent to S .

Corollary

A full matrix ring $\text{Mat}_n(S)$ over an idempotent ring S is Morita equivalent to S .

Corollary

A unital Rees matrix ring $\mathcal{M}(S; U, V; X)$ over a ring S with identity is Morita equivalent to S .

Definition

Let $\Gamma = (R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$ be a Morita context. Then **Morita ring** $\bar{\Gamma}$ of the context Γ is defined as the matrix set

$$\bar{\Gamma} = \left\{ \left[\begin{array}{cc} r & p \\ q & s \end{array} \right] \mid r \in R, s \in S, p \in P, q \in Q \right\}$$

Definition

Let $\Gamma = (R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$ be a Morita context. Then **Morita ring** $\bar{\Gamma}$ of the context Γ is defined as the matrix set

$$\bar{\Gamma} = \left\{ \begin{bmatrix} r & p \\ q & s \end{bmatrix} \mid r \in R, s \in S, p \in P, q \in Q \right\}$$

with componentwise addition and with the multiplication

$$\begin{bmatrix} r & p \\ q & s \end{bmatrix} \begin{bmatrix} r' & p' \\ q' & s' \end{bmatrix} = \begin{bmatrix} rr' + \theta(p \otimes q') & rp' + ps' \\ qr' + sq' & \phi(q \otimes p') + ss' \end{bmatrix}.$$



European Union
European Regional
Development Fund



Investing
in your future

A ring T is a **joint enlargement** of rings R and S if it is an enlargement of both R and S .



A ring T is a **joint enlargement** of rings R and S if it is an enlargement of both R and S .

Theorem

If idempotent rings R and S are connected by a unitary surjective Morita context Γ then the Morita ring $\overline{\Gamma}$ is a joint enlargement of R and S .



A ring T is a **joint enlargement** of rings R and S if it is an enlargement of both R and S .

Theorem

If idempotent rings R and S are connected by a unitary surjective Morita context Γ then the Morita ring $\overline{\Gamma}$ is a joint enlargement of R and S .

Theorem

Idempotent rings are Morita equivalent if and only if they have a joint enlargement.

Theorem

Let R and S be two idempotent rings. The following assertions are equivalent:

- 1 R and S are Morita equivalent;
- 2 $\text{FMod}_R \approx \text{FMod}_S$;
- 3 $\text{CMod}_R \approx \text{CMod}_S$;
- 4 $\text{UTfMod}_R \approx \text{UTfMod}_S$;
- 5 R and S are connected by a unitary surjective Morita context $(R, S, {}_R P_S, {}_S Q_R, \theta, \phi)$;
- 6 rings R and S have a joint enlargement;
- 7 R is isomorphic to a bijectively defined tensor product ring $P \otimes_S Q$ (for firm rings).



Corollary

Two rings with identity (two rings with local units) are Morita equivalent if and only if they have a joint enlargement which has identity (has local units).

Corollary

Two rings with identity (two rings with local units) are Morita equivalent if and only if they have a joint enlargement which has identity (has local units).

Theorem

A ring R with left local units is Morita equivalent to a ring with identity if and only if there exists an idempotent element $e \in R$ such that $R = ReR$. In that case R is Morita equivalent to its subring eRe .





We say that a ring R has left local units if for every finite subset $\{r_1, \dots, r_n\} \subseteq R$ there exists an idempotent element $e \in R$ such that $r_1 = er_1, \dots, r_n = er_n$.

- 1 Do two finite idempotent Morita equivalent rings have a finite joint enlargement?

- ① Do two finite idempotent Morita equivalent rings have a finite joint enlargement?
- ② Is the problem of Morita equivalence of finite idempotent rings decidable?

- ① Do two finite idempotent Morita equivalent rings have a finite joint enlargement?
- ② Is the problem of Morita equivalence of finite idempotent rings decidable?

We know that the answer to the first question is positive in the case of semigroups with local units and the answer to the second question is positive for arbitrary finite semigroups.

-  V. Laan, K. Völjako. *Enlargements of Rings*, Communications in Algebra **49** 4, 2021, 1764 – 1772.
-  F. W. Anderson, K. R. Fuller, Rings and categories of modules, Graduate Texts in Mathematics, Vol. 13, Springer-Verlag, New York-Heidelberg, 1974. viii+339 pp.
-  P. N. Anh, L. Márki, *Rees matrix rings*, J. Algebra 81 (1983), 340–369.
-  L. Márki, O. Steinfeld, *A Rees matrix construction without regularity*, Contributions to general algebra 6, 197–202, Hölder-Pichler-Tempsky, Vienna, 1988.



Thank you for listening!

My work is financed by the University of Tartu ASTRA Project PER ASPERA
(European Regional Development Fund).